

# Outcome Measures Involving Caregiver Burden

Prepared for:

IMMPACT-XVII (**OMERPACT**)

Assessment of Physical Function in Analgesic Clinical Trials

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# Caregiver Burden Overview

Definition and Framing Questions

Epidemiology

Diagnostic Strategies/Assessment

Measurement of the Effects of Interventions on CB

Considerations

## Caregiver Burden: **Definition**

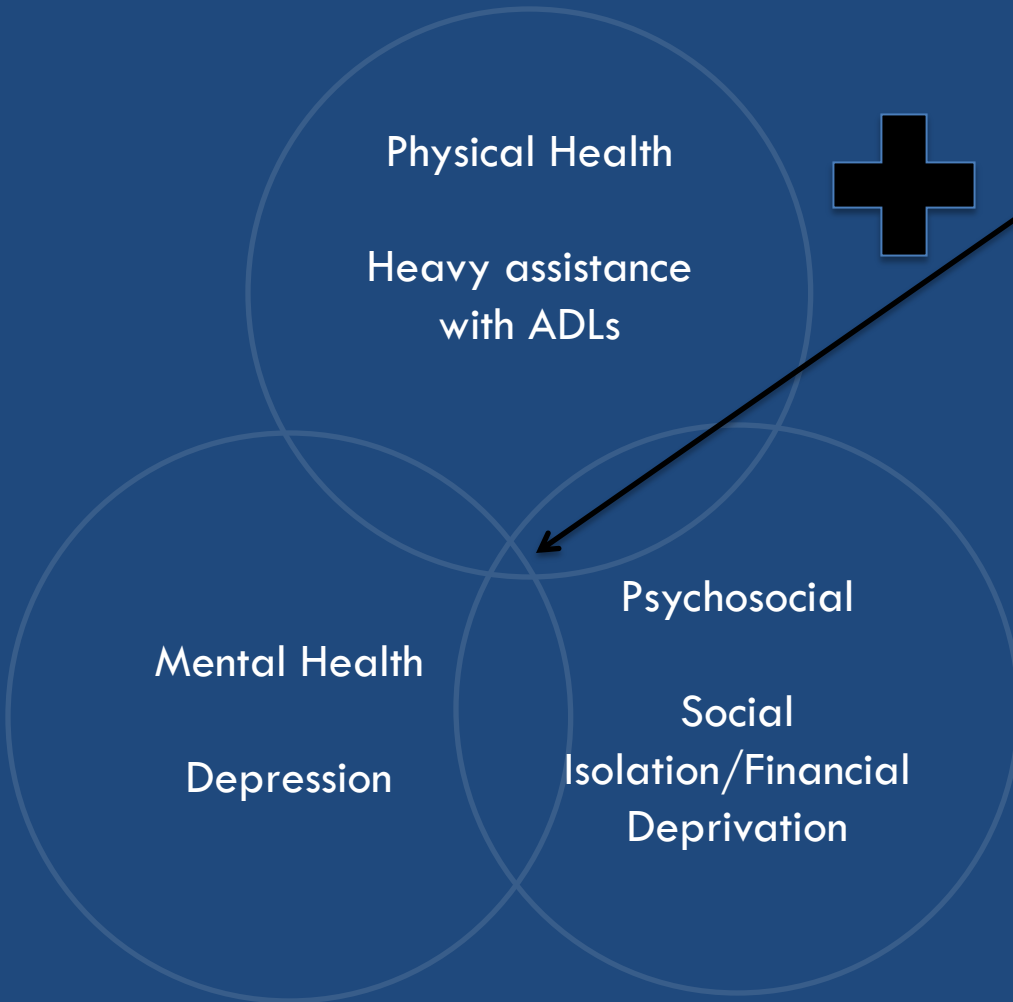
### The multidimensional toll

Extent to which caregivers perceive that care giving has had an adverse effect on their emotional, social, financial, physical, and spiritual functioning.

Consensus criteria: unpaid

Variable criteria: type of assistance, extent of assistance

# Multidimensional Assessment



## Central Questions

*To what extent is a patient's pain-related loss of (physical function) reflected in measures of caregiver burden?*

*To what extent, if any, should patients be exposed to the risks of a therapy intended primarily or secondarily to ease the burden of others-- the friends and family that care for them?*

## IMPACT μετάφραση

How is this formulated in terms of clinical trials methods, measurement, pain assessment?

Is it feasible to quantify the amount of time a caregiver saves and the reduced burden borne by caring for a relative or friend with less pain in the intervention/drug/device- treated group v placebo group

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# Epidemiology

Unpaid or informal caregivers provide ~90% of the in home long term care needed by adults or children

65.7 million unpaid caregivers to adult or child (66% for an adult >50)

32% of caregivers endorse a **high** burden ; 19% endorse a **medium** burden based on time and care recipient's degree of dependency



# Epidemiology

86% are women caring for a relative; 14% friend

Average time per week : 20.5 hours; 20% spend >40 hours

Cost of informal dementia caregiving \$56, 290 per patient per year

# Risk Factors/Outcomes for Caregiver Burden

## Demographic

Female (>2 fold)

Low educational level

Cohabitation with recipient

## Clinical Outcomes

Independent predictor of caregiver mortality (63% increased risk of death)

Weight Loss, low self care behaviors

Sleep deprivation

## Psychosocial

Depression –risk factor for and an outcome of

Social isolation, reduced # of coping strategies, anxiety (advanced Ca) , suicide

## Caregiving Context

Longer duration and more hours

Financial stress

Lack of choice

Inability to continue regular employment

Schulz R et al Caregiving as a risk factor for mortality: the Caregiver Health Effects Study *JAMA*. 1999;282(23):2215-2219  
Rodakowski J et al. Role of social support in predicting caregiver burden. *Arch Phys Med Rehabil* 2012;92(12):2229-2236

Caregiver burden study populations emphasize three homogeneous diagnostic groups that may limit extrapolations to people with chronic pain

- Alzheimer Disease and Related Dementias
- Stroke
- *Cancer*

# Alzheimer's disease is the index condition for studying caregiver burden

- Anxiety, depression, chronic fatigue, sleep problems, and high blood pressure are all common among caregivers of patients with AD
- Clinical intuition led investigators to speculate the ChEI (anti-dementia treatment) would have a beneficial effect on caregivers

Broaty H et al Prevalence and predictors of burden in caregivers of people with dementia *Am J Psychiatry* 2013

Harmell AL et al. The relationship between self efficacy and resting blood pressure in spousal Alzheimer's caregivers. *Br J Health Psychol* 2011;16(Pt 2):317-328

# Are the study populations and underlying conditions in which caregiver burden have been studied relevant to chronic pain?

## Key Parallels with Dementia

- Highly prevalent/older Population/costly
- Engagement of neural targets may have indirect benefits beyond symptomatic relief
- Analgesics (like ChEIs) may have a favorable effect on complex and inter-related variables of patient and caregiver quality of life, burden, and caregiver resource utilization

## Key Contrasts with Dementia

- ADRD trials rely, in part, upon caregiver input to measure patient-specific outcomes (diagnostic role)
- Different behaviors in AD (delusions, hallucinations, aggression) than chronic pain (catastrophizing)
- Drugs for AD more about reducing dysfunction in a **progressive degenerative** condition rather than improving function

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Interventions in Clinical Practice

## Measures of Caregiver Burden

- Zarit Burden Interview (ZBI)
  - Caregiver Assessment Tool (CAT)
  - Caregiver Stress Scale (CSS)
  - Caregiver Burden Screen (CBS)
  - Screen for Caregiver Burden (SCB)
  - Research School of Social Sciences (RSS)
  - NPI-D Neuropsychiatric Inventory caregiver distress scale (5+)
- 
- Recent meta-analyses and systemic reviews (cancer =1;dementia=7)
  - Extensive observational literature

# Zarit: the most commonly used measure of burden

## CAREGIVER BURDEN SCALE

Zarit et al. (1980), *Gerontologist*, 20(6), 649-55

Instructions: Read each statement and rate it on a scale from 0 (never) to 4 (nearly always)

In general, how often do you feel: Never Nearly Always

There is not enough time for yourself	0	1	2	3	4
Overtaxed with responsibilities	0	1	2	3	4
Like you've lost control over your life	0	1	2	3	4

In regard to the relative for whom you are caring, how often do you feel:

Uncertain about what to do for your relative	0	1	2	3	4
Like you should do more for your relative	0	1	2	3	4
Like you could do a better job of caring	0	1	2	3	4

When you are with the relative for whom you are caring, how often do you feel:

A sense of strain	0	1	2	3	4
Anger	0	1	2	3	4
Embarrassment	0	1	2	3	4
Uncomfortable about having friends over	0	1	2	3	4

How often do you feel that your relationship with the relative for whom you're caring negatively impacts:

Your social life	0	1	2	3	4
Other relationships with family and friends	0	1	2	3	4
Your health	0	1	2	3	4
Your privacy	0	1	2	3	4

How often do you:

Feel you receive excessive help requests	0	1	2	3	4
Feel all the responsibility falls on one caregiver	0	1	2	3	4
Fear the future regarding your relative	0	1	2	3	4
Fear not having enough money to care for your relative	0	1	2	3	4
Fear not being able to continue caring for your relative	0	1	2	3	4
Wish to leave the care of your relative to someone else	0	1	2	3	4

How much does your spouse/loved one depend on you as the caregiver? 0 1 2 3 4

Please rate your overall level of burden in caring for your spouse/relative:

(0) No burden at all (1) Mild Burden (2) Moderate Burden (3) Severe Burden (4) Extreme Burden

**Interpretation:**

- a. No or minimal burden: 0 to 20
- b. Mild to moderate burden: 21 to 40
- c. Moderate to severe burden: 41-60
- d. Severe burden: 61 to 88



# Measures of active time use by informal caregivers

*We would like to know how much time you spent on giving informal care to your care recipient. Please, consider the past week!*

1: Last week did you spend time on the activities below in your care recipient's house? If you did, please, indicate how much time you spent on the activities.

	<i>Minutes per day</i>	<i>or</i>	<i>Hours per week</i>
a. Preparing food and drinks?	→ <input type="text"/>	<i>or</i>	<input type="text"/>
b. Cleaning the house?	→ <input type="text"/>	<i>or</i>	<input type="text"/>
c. Washing, ironing and sewing?	→ <input type="text"/>	<i>or</i>	<input type="text"/>
d. Taking care of and playing with your own children?	→ <input type="text"/>	<i>or</i>	<input type="text"/>
e. Shopping?	→ <input type="text"/>	<i>or</i>	<input type="text"/>
f. Maintenance work, odd jobs, gardening?	→ <input type="text"/>	<i>or</i>	<input type="text"/>

2: Last week did you spend time on assisting your care recipient with the activities below? If you did, please, indicate how much time you spent on the activities.

	<i>Minutes per day</i>	<i>or</i>	<i>Hours per week</i>
a. Personal care (dressing/undressing, washing, combing, shaving)?	→ <input type="text"/>	<i>or</i>	<input type="text"/>
b. Moving around in the house or going to the toilet?	→ <input type="text"/>	<i>or</i>	<input type="text"/>
c. Eating and drinking?	→ <input type="text"/>	<i>or</i>	<input type="text"/>
d. Moving or travelling outside the house (aid with walking or wheelchair)?	→ <input type="text"/>	<i>or</i>	<input type="text"/>
e. Making trips and visiting family or friends?	→ <input type="text"/>	<i>or</i>	<input type="text"/>
f. Health care contacts (like visiting a doctor)?	→ <input type="text"/>	<i>or</i>	<input type="text"/>
g. Organising help, aids, house adaptations or taking care of financial matters like insurance?	→ <input type="text"/>	<i>or</i>	<input type="text"/>
h. Social support?	→ <input type="text"/>	<i>or</i>	<input type="text"/>

# NPI-D: A Measure of Caregiver Distress

<b>NPI</b>		Neuropsychiatric Inventory				
		Scoring Summary				
CENTER #	SCREENING #	PATIENT #	PATIENT INITIALS	VISIT	DATE	
□□□□	□□□□□□	□□□□	□□□□ F M L	□□	□□□□ M D Y	
Please transcribe appropriate categories from the NPI Worksheet into the boxes provided.					Rater's Initials: □□□	
For each domain: - If symptoms of a domain did not apply, check the "N/A" box. - If symptoms of a domain were absent, check the "0" box. - If symptoms of a domain were present, check one score each for Frequency and Severity. - Multiply Frequency score x Severity score and enter the product in the space provided. - Total all Frequency x Severity scores and record the Total Score below. - If symptoms of a domain were present, check one score for Distress; total all distress scores for a summary score.						
DOMAIN	N/A <sup>1</sup>	ABSENT	FREQUENCY	SEVERITY	FREQUENCY X SEVERITY	CAREGIVER DISTRESS
		0	1 2 3 4	1 2 3		0 1 2 3 4 5
A. Delusions	□	□	□□□□	□□□	□□	□□□□□□
B. Hallucinations	□	□	□□□□	□□□	□□	□□□□□□
C. Agitation/Aggression	□	□	□□□□	□□□	□□	□□□□□□
D. Depression/Dysphoria	□	□	□□□□	□□□	□□	□□□□□□
E. Anxiety	□	□	□□□□	□□□	□□	□□□□□□
F. Elation/Euphoria	□	□	□□□□	□□□	□□	□□□□□□
G. Apathy/Indifference	□	□	□□□□	□□□	□□	□□□□□□
H. Disinhibition	□	□	□□□□	□□□	□□	□□□□□□
I. Irritability/Lability	□	□	□□□□	□□□	□□	□□□□□□
J. Aberrant Motor Behavior	□	□	□□□□	□□□	□□	□□□□□□
TOTAL SCORE:					□□□	□□
K. Sleep and Nighttime Behavior Disorders	□	□	□□□□	□□□	□□	□□□□□□
L. Appetite/Eating Changes	□	□	□□□□	□□□	□□	□□□□□□

<b>NPI</b>		Neuropsychiatric Inventory	
		Worksheet	
Directions: Read all items from the NPI "Instructions for Administration of the NPI". Mark Caregiver's responses on this worksheet before scoring the Frequency, Severity, and Caregiver Distress for each item.			
<b>A. DELUSIONS:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A Frequency _____ Severity _____ Distress _____ <input type="checkbox"/> 1. Fear of harm <input type="checkbox"/> 2. Fear of theft <input type="checkbox"/> 3. Spousal affair <input type="checkbox"/> 4. Phantom boarder <input type="checkbox"/> 5. Spouse imposter <input type="checkbox"/> 6. House not home <input type="checkbox"/> 7. Fear of abandonment <input type="checkbox"/> 8. Talks to TV, etc. <input type="checkbox"/> 9. Other _____		<b>B. HALLUCINATIONS:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A Frequency _____ Severity _____ Distress _____ <input type="checkbox"/> 1. Hears voices <input type="checkbox"/> 2. Talks to people not there <input type="checkbox"/> 3. Sees things not there <input type="checkbox"/> 4. Smells things not there <input type="checkbox"/> 5. Feels things not there <input type="checkbox"/> 6. Unusual taste sensations <input type="checkbox"/> 7. Other _____	
<b>C. AGITATION/AGGRESSION:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A Frequency _____ Severity _____ Distress _____ <input type="checkbox"/> 1. Upset with caregiver; resists ADL's <input type="checkbox"/> 2. Stubbornness <input type="checkbox"/> 3. Uncooperative; resists help <input type="checkbox"/> 4. Hard to handle <input type="checkbox"/> 5. Cursing or shouting angrily <input type="checkbox"/> 6. Slams doors; kicks, throws things <input type="checkbox"/> 7. Hits, harms others <input type="checkbox"/> 8. Other _____		<b>D. DEPRESSION/DYSPHORIA:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A Frequency _____ Severity _____ Distress _____ <input type="checkbox"/> 1. Tearful and sobbing <input type="checkbox"/> 2. States, acts as if sad <input type="checkbox"/> 3. Puts self down, feels like failure <input type="checkbox"/> 4. "Bad person", deserves punishment <input type="checkbox"/> 5. Discouraged, no future <input type="checkbox"/> 6. Burden to family <input type="checkbox"/> 7. Talks about dying, killing self <input type="checkbox"/> 8. Other _____	
<b>E. ANXIETY:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A Frequency _____ Severity _____ Distress _____ <input type="checkbox"/> 1. Worries about planned events <input type="checkbox"/> 2. Feels shaky, tense <input type="checkbox"/> 3. Sobs, sighs, gasps <input type="checkbox"/> 4. Racing heart, "butterflies" <input type="checkbox"/> 5. Phobic avoidance <input type="checkbox"/> 6. Separation anxiety <input type="checkbox"/> 7. Other _____		<b>F. ELATION/EUPHORIA:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A Frequency _____ Severity _____ Distress _____ <input type="checkbox"/> 1. Feels too good, too happy <input type="checkbox"/> 2. Abnormal humor <input type="checkbox"/> 3. Childish, laughs inappropriately <input type="checkbox"/> 4. Jokes or remarks not funny to others <input type="checkbox"/> 5. Childish pranks <input type="checkbox"/> 6. Talks "big", grandiose <input type="checkbox"/> 7. Other _____	

SUB SCALE DESIGNED TO ASSESS CAREGIVER DISTRESS IN ASSOCIATION WITH BEHAVIORAL DISTURBANCE

# Norhouse Meta-Analysis

## Interventions with family caregivers of cancer patients

- **Illness Appraisal Domain**
  - Appraisal of caregiving burden (negative reaction to caregiving)
  - Appraisal of caregiving benefit (opportunity for personal growth)
  - Information needs (cancer prognosis, available resources)
- **Coping resources Domain**
  - Coping strategies (promote problem solving, reduce ineffective coping-denial)
  - Self efficacy (caregivers perceived confidence, preparation, mastery)
- **Quality of Life Domain**
  - Physical functioning (performance of self care behaviors)
  - Distress and Anxiety
  - Depression-interventions not successful in reducing caregiver depression
  - Marital-family Relationships
  - Social Functioning

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## Interventions: Recent Meta-Analyses

- Support groups or psycho-educational interventions were modestly effective and had effect sizes ranging from 0.09-.23
- Pharmacologic interventions : 0.18-0.27
  - Anticholinergic medication in ADRD
  - Antipsychotic medication in ADRD
- Psycho educational interventions + skills training + therapeutic counseling for cancer patients
- 0.22 at 3 months, and 0.08 after 6 months
- Symptoms of caregiver burden (mood, coping, self efficacy) improved even though burden itself not improved

Table 3. Meta-analyses and Systematic Reviews of Caregiver Burden Interventions

Source <sup>a</sup>	Total No. of Studies	Interventions (No. of Studies)	Caregiver Burden Measures (No. of Studies)	Findings, Effect Size (95% CI)	Comments
Meta-analyses					
Pinquart and Sorensen, <sup>37</sup> 2006	127	Psychoeducational, cognitive behavior therapy, counseling/care management, general support, respite, training of care recipient, multicomponent	ZBI (32) Other (53)	Burden reduction (all intervention types): -0.12 (95% CI, -0.17 to -0.007) <sup>b</sup> Binomial effect size display: 53% of intervention recipients experienced above-average improvement in burden compared with 47% of control participants <sup>c</sup>	Significant but small effects on burden Psychoeducational interventions that required active participation of caregivers had the broadest effects Counseling, cognitive behavioral therapy, and respite also had effects on burden
Brodsky et al, <sup>38</sup> 2003	30	Psychosocial interventions	ZBI (8), CAT (1) CHS (1) SCB (1) MBPC (1) RS (1) OBS (1)	Weighted for burden 0.09 (95% CI, -0.09 to 0.26)	Significant benefits in caregiver psychological distress, caregiver knowledge, any main caregiver outcome measure, and patient mood, but not caregiver burden
Chien et al, <sup>39</sup> 2011	30 Total (24 measured caregiver burden)	Caregiver support groups	Not summarized	Weighted for burden -0.23 (95% CI, -0.33 to -0.14) <sup>d</sup>	Support groups lowered burden slightly and had larger effects on caregiver psychological well-being, depression, and social outcomes Support group interventions that were modest in size (6-10 participants), involved education and training, and were longer in duration and follow-up had greater effects on burden
Schoenmakers et al, <sup>40</sup> 2009 <sup>e</sup>	8 Total (6 measured caregiver burden, caregiver distress, or both)	For dementia-related behaviors: Anticholinergic drugs (5) Antipsychotic drugs (1)	CAT CSS CBS SCB NPI-D RSSS	Antipsychotics: 0.27 (95% CI, 0.13-0.41) Anticholinergics: 0.23 (95% CI, 0.08-0.33)	Use of medications had a small but significant effect on caregiver burden and was also associated with less time caregivers spent in direct caregiving
Northouse et al, <sup>41</sup> 2010 <sup>f</sup>	22 Total (11 assessed caregiver burden)	Psychoeducational (20) Skills training (9) Therapeutic counseling (6)	Not reported	Data reported by assessment interval after the intervention: 0-3 months, 0.22 (95% CI, 0.08-0.35) 3-6 months, 0.10 (95% CI, -0.04 to 0.25) >6 months, 0.08 (95% CI, -0.19 to 0.34)	Interventions had small to medium effects on caregiver burden, caregivers' ability to cope, self-efficacy, and improved quality of life Paradoxically, greater numbers of sessions were associated with less burden reduction
Systematic reviews					
Linger L, et al, <sup>42</sup> 2005 <sup>g</sup>	17 Total (10 measured caregiver burden; 4 of these met quality criteria for inclusion in the meta-analysis)	Drug therapy of Alzheimer disease (mostly donepezil), in which caregiver burden was a secondary outcome	NPI-D (5) SCB (2) RSS (4) PD (1)	0.18 (95% CI, 0.04-0.32)	Small decrease in caregiver burden in treatment group Future Alzheimer disease drug trials should include higher-quality caregiver measures and methodology
Thompson et al, <sup>43</sup> 2007 <sup>h</sup>	44	Measured caregiver burden: Psychoeducational studies (3) Support interventions (2)	Not specified	Support intervention: -0.40 (95% CI, -5.69 to 4.90) Psychoeducational intervention: -2.15 (95% CI, -5.97-1.66)	Interventions were not effective in reducing caregiver burden Group-based interventions affected psychological morbidity Clinical significance was unclear
Vernooij-Dassen et al, <sup>44</sup> 2011 <sup>i</sup>	11	Cognitive reframing (changing caregivers' maladaptive behaviors or beliefs) measured burden (3)	ZBI CSI	Burden: -0.14 (95% CI, -0.32 to 0.03)	Cognitive reframing did not significantly reduce caregiver burden but had beneficial effects on caregiver anxiety, depression, and subjective stress

# Review of pharmacological treatment of behavioral disturbances in elderly patients

Effect on Burden

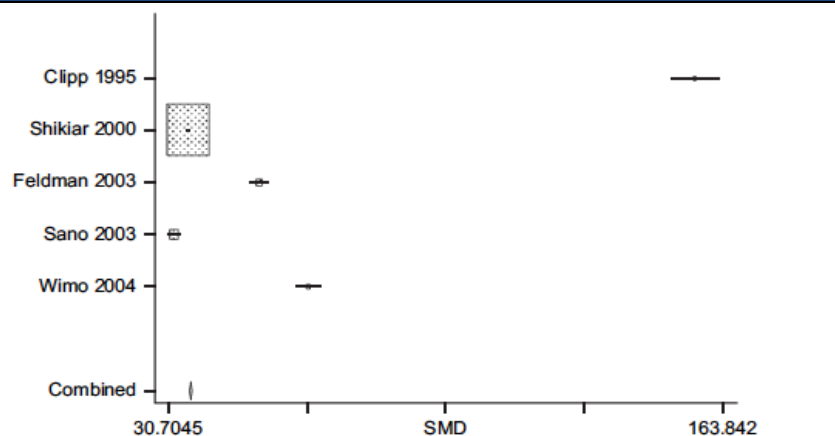


FIGURE 2 Effect size of drug therapy on burden (score on burden inventory) in caregiver (fixed effects model)

Effect on Caregiver Time (min/day)

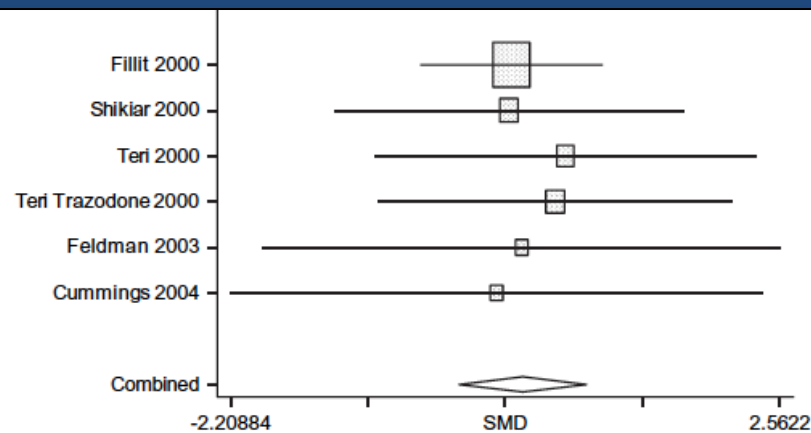
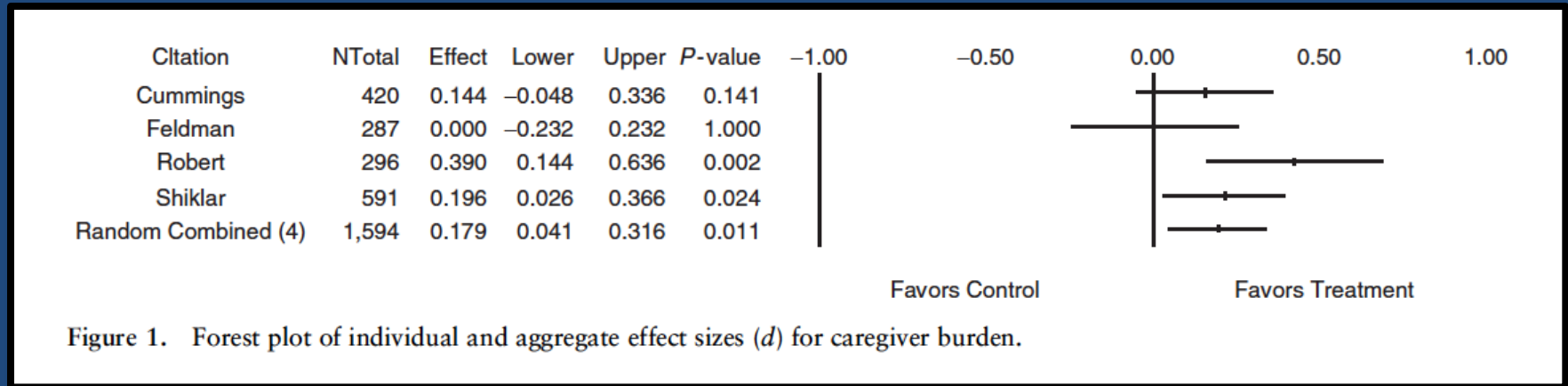


FIGURE 3 Effect size of drug therapy on time spent in minutes/day by the caregiver (fixed effects model)

PLACEBO RESPONSE RATE FOR REDUCED CB

TERRI reported 31% Haloperidol 0.5mg vs Trazadone 50mg vs PBO

# Meta-Analysis and Systematic Review of Caregiver-Specific Outcomes in Anti-dementia Clinical Trials



- 17 eligible trials; 4,744 subjects
- Head to head design (4); open label (3)
- Majority involved drugs now FDA-approved; donepezil (7)
- Mean sample size 279; mean duration 35 weeks
- 10 trials evaluated caregiver burden
- Range of one ( $k=6$ ) to three burden measures ( $k=1$ )
- NPI D (5 trials), four relied on this tool solely
- NPI-D measures distress appraisals in response to up to 10 behaviors



# Measures of active time use by informal caregivers

We would like to know how much time you spent on giving informal care to your care recipient. Please, consider the past week!

1: Last week did you spent time on the activities below in your care recipient's house? If you did, please, indicate how much time you spent on the activities.

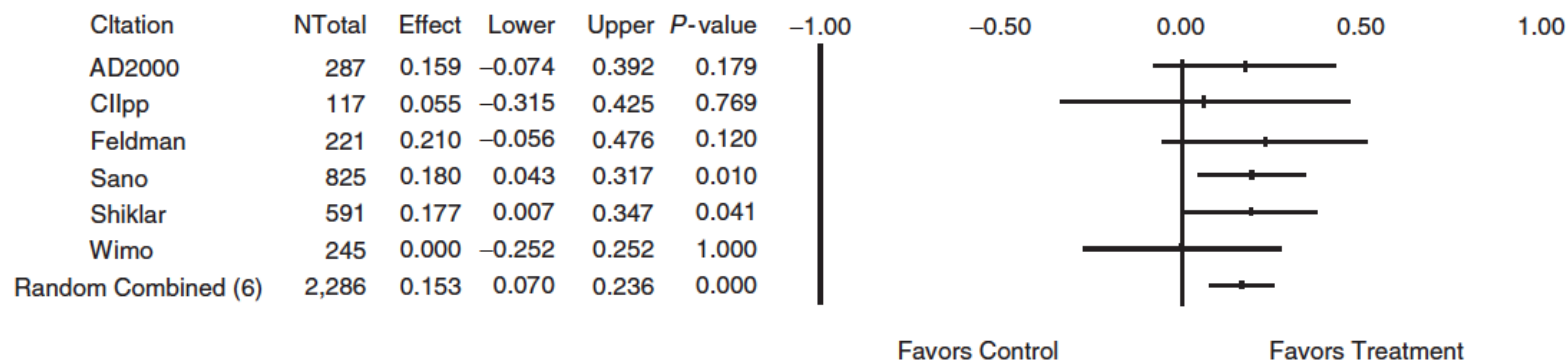


Figure 2. Forest plot of individual and aggregate effect sizes ( $d$ ) for caregiver time use.

- b. Moving around in the house or going to the toilet? →  or
- c. Eating and drinking? →  or
- d. Moving or travelling outside the house (aid with walking or wheelchair)? →  or
- e. Making trips and visiting family or friends? →  or
- f. Health care contacts (like visiting a doctor)? →  or
- g. Organising help, aids, house adaptations or taking care of financial matters like insurance? →  or
- h. Social support? →  or

## Caregiver pain appears to be a predictor of caregiver burden and emotional/physical domains of burden

- 20-50% of informal caregivers are estimated to be over age 65
- $n=116$ ; mean age 73.3, convenience sample
  - Self report questionnaires (Townsend Disability/Geriatric Pain Measure/Caregiver Burden Inventory/Geriatric Depression Scale)
- Caregiver pain predicts response to caregiving in this sample (depression)
- Time dependence was uniquely correlated with AD, cohabitation, degree of disability of recipient

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## Problems with caregiver burden assessment in clinical trials disclosed by Lingler's meta-analysis that have implications for clinical trials in chronic pain populations

1. None of the reports specific caregiver eligibility criteria
  - For these AD trials the patient inclusion criteria specified need for a reliable informant to accompany to study visits (some delineate amount of contact)
2. Lack of information about caregiver baseline characteristics
3. Operational definitions of caregiver outcomes were unspecified (most problematic for burden/psychological morbidity)
4. Lack explicit theoretical basis for including caregiver burden

## Conclusions: Applicability of this measure to pain

1. The amount of time the caregiver saves and the reduced burden by caring for a patient (family/friend with reduced pain and pain-related activity limitation)
  - Which domains from Cancer/ADRD trials are appropriate?
2. Need to Identify pain conditions in which feasibility of assessing caregiver burden has greater feasibility
  - spinal cord injury related to neuropathic pain
  - study populations in which pain-related distress is more manifest
1. Pain in older adults is highly prevalent and there is emerging evidence on high rates of informal caregiving
2. Cost shifting of healthcare is going to accelerate informal caregiving

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