

IMMPACT-VIII

Early Clinical Study Designs, Emphasizing Proof-of-Concept Trials

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## PK-PD Modeling and Dosage Determination for Proof-of-Concept Trials

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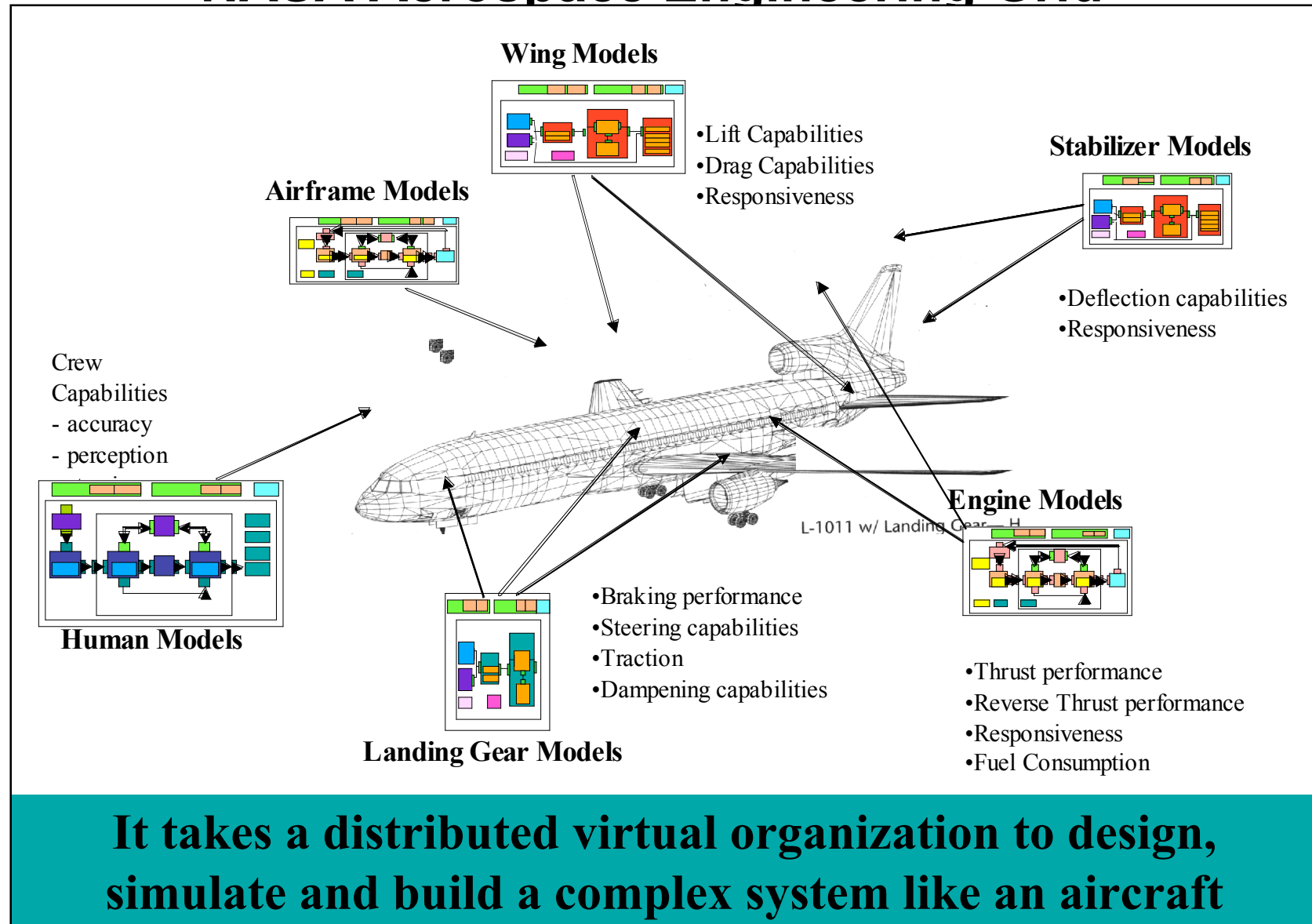


# Overview

- PK/PD (Exposure-Response) and Model-Based Drug Development
- Role of Exposure-Response Modeling in Proof-of-Concept Trials
  - Planning and Design
  - Analysis and Quantitative Support for PoC Determination
  - Building Knowledge for Later Stage Development
  - Other examples of E-R utility
- Summary Points

# Innovation: Planes are modeled long before takeoff

## NASA Aerospace Engineering Grid



*Pharmacometrics...the science of interpreting and describing pharmacology in a quantitative fashion (e.g. through modeling and simulation)*

**DISEASE  
PROGRESSION**

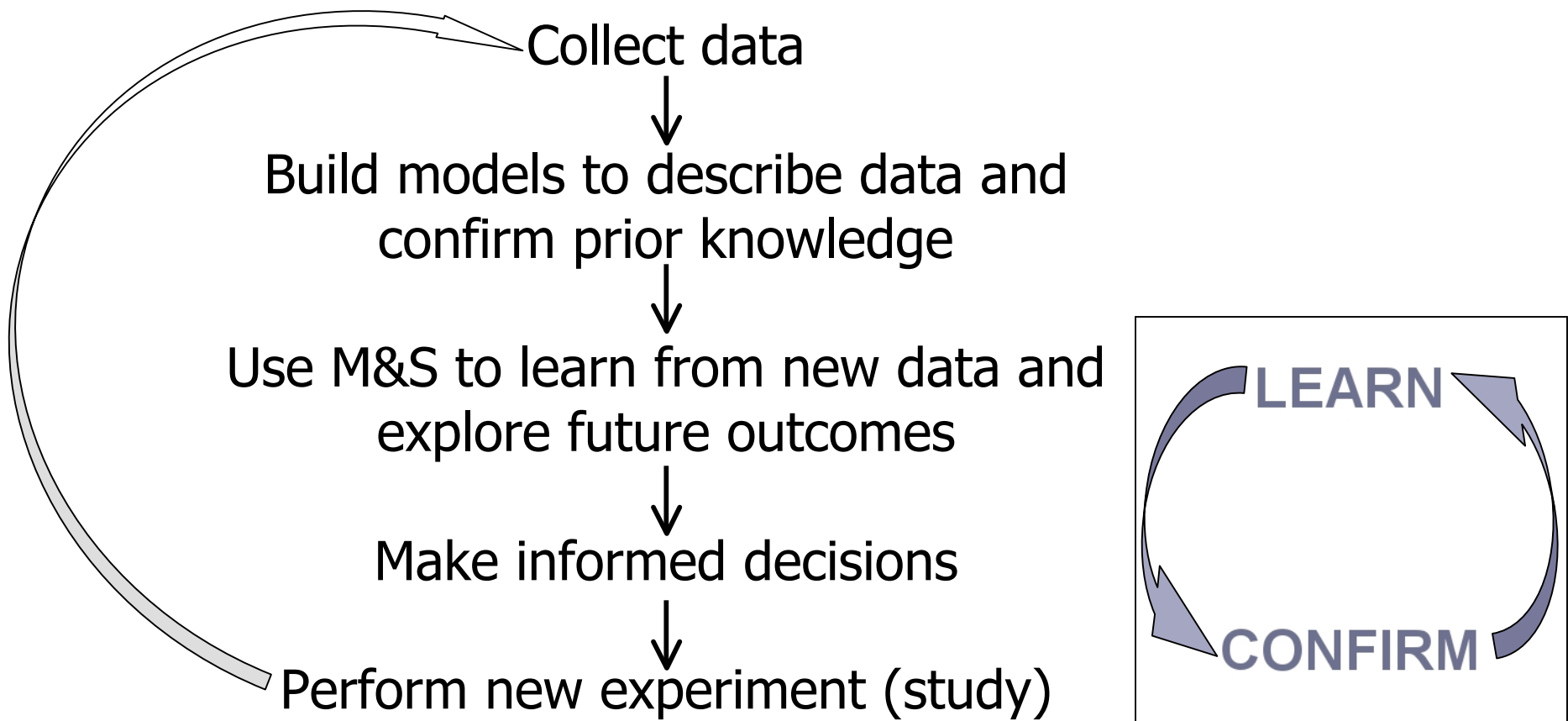


**TRIAL DESIGNS &  
DEVELOPMENT STRATEGY**

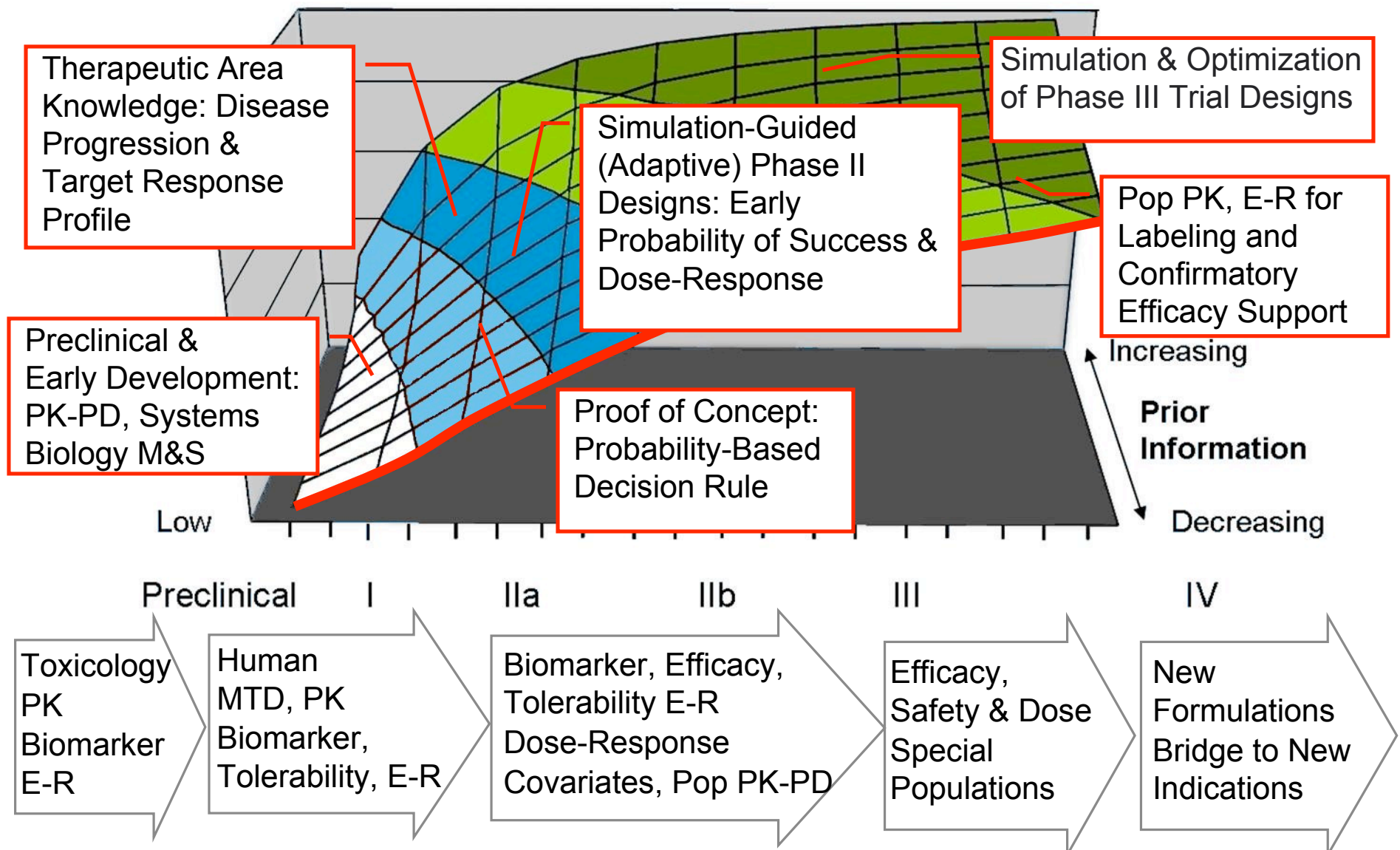
**KNOWLEDGE**

# Modeling and Simulation: A Tool to Facilitate the Learn-Confirm Continuum

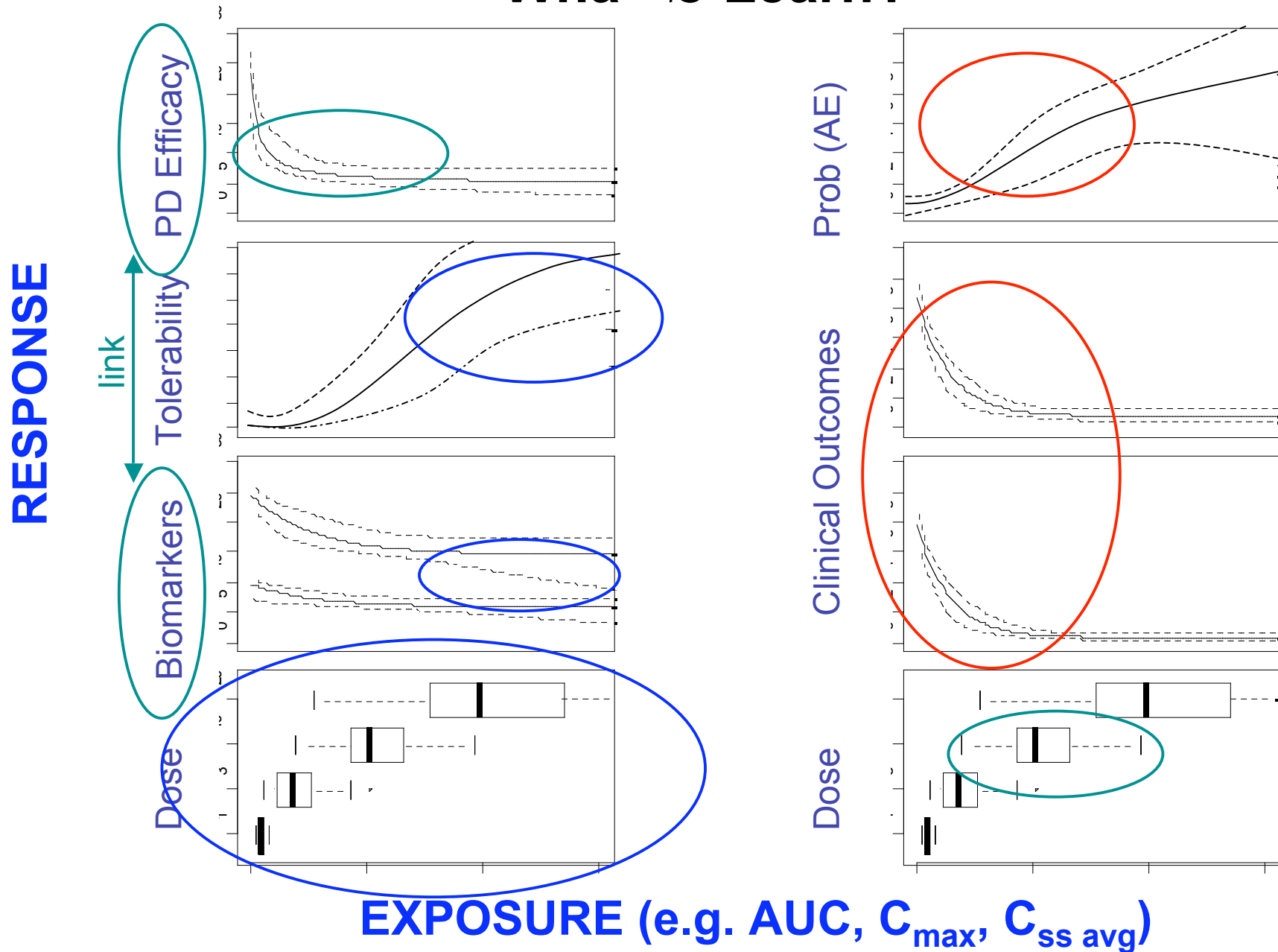
Sheiner LB. Learning versus confirming in clinical drug development.  
Clin Pharmacol Ther 1997; 61(3):275-91.



# M&S Throughout Drug Development

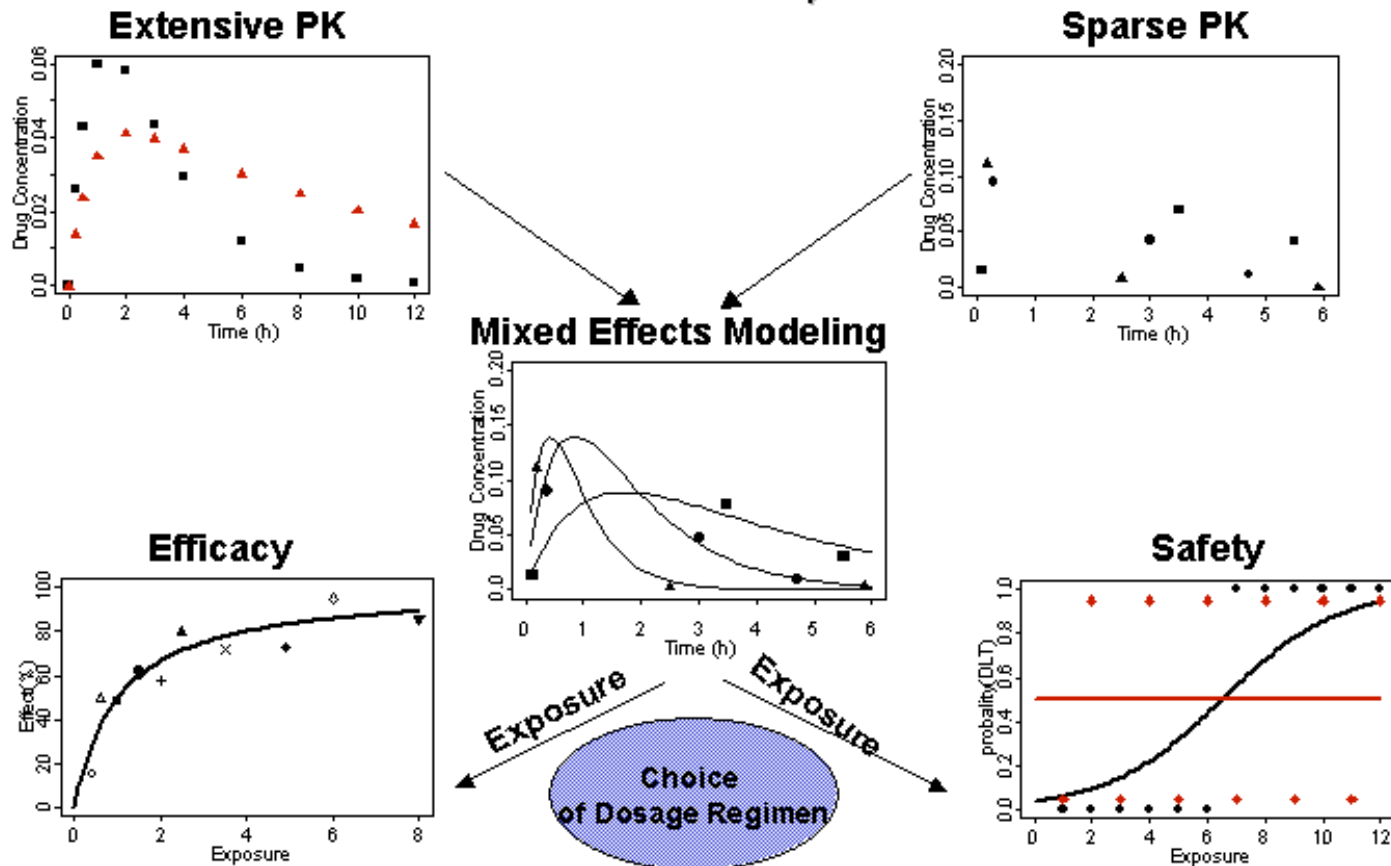


# What to Learn?



# Regulatory Support for M&S

*Population Pharmacokinetic and Dynamic Modeling  
A Fundamental Tool to Characterize Exposure-Response Relationships*



<http://www.fda.gov/oc/initiatives/criticalpath/presentations.html>



## **Regulatory Support for M&S: Guidance Documents**

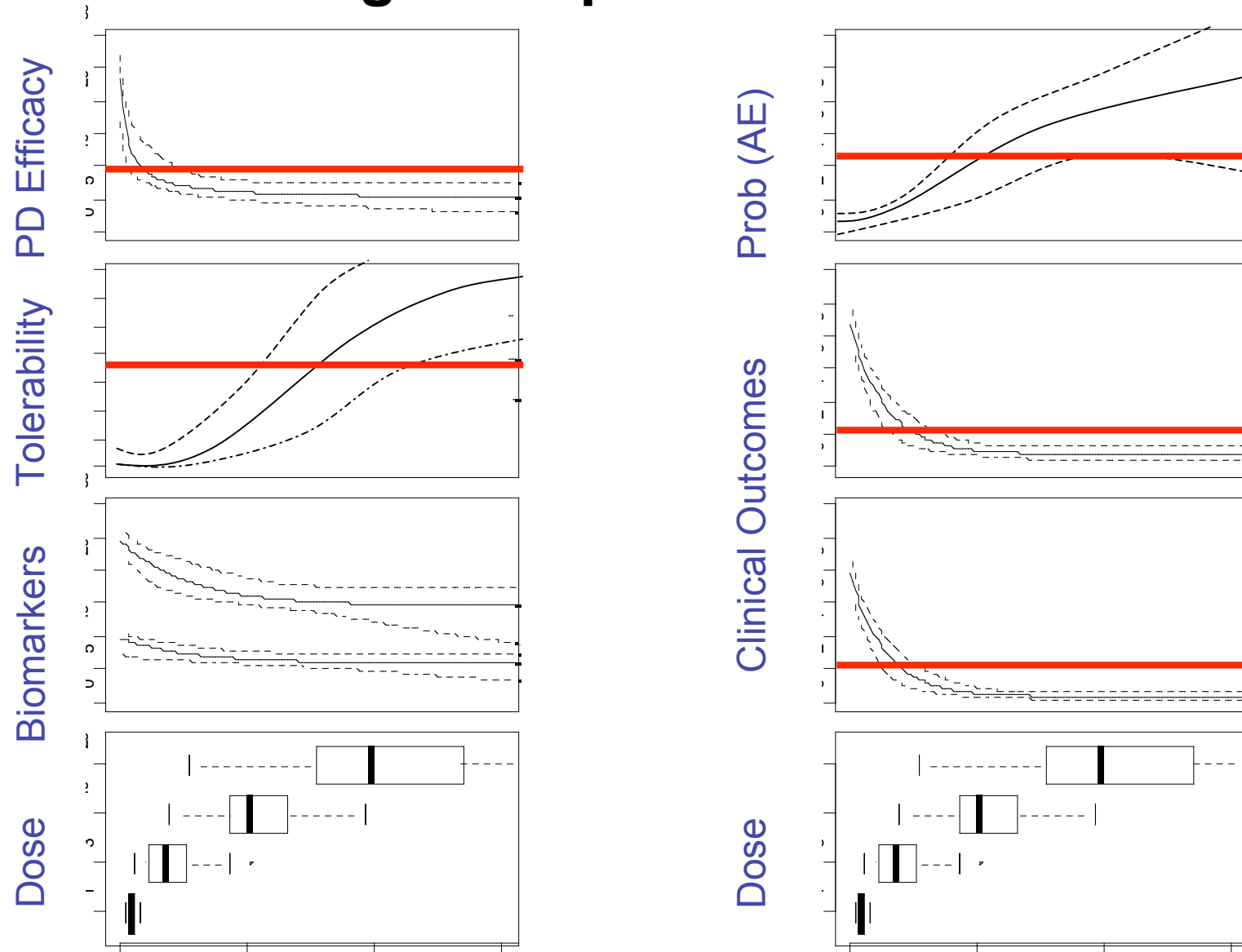
- Population Pharmacokinetics (FDA and EMEA)
- Exposure-Response Relationships (FDA)
- Dose-Response Information to Support Drug Registration (ICH-E4)
- General Considerations for the Clinical Evaluation of Drugs (FDA 77-3040)
- General Considerations for Pediatric Pharmacokinetic Studies (FDA)
- Pharmacokinetics in Patients with Impaired Renal Function (FDA)
- Pharmacokinetics in Patients With Impaired Hepatic Function (FDA)
- Studies in Support of Special Populations: Geriatrics (ICH-E7)
- Ethnic Factors in the Acceptability of Foreign Clinical Data (ICH-E5)
- Clinical Investigation of Medicinal Products in the Pediatric Population (ICH-E11)

## Determination of PoC

- Primary Challenge: Define decision criteria for PoC determination
  - Proof of mechanism
  - Statistically significant efficacy response with approval endpoint
  - Acceptable probability of achieving multivariate target response profile
  - Comparability to active control
  
- Once defined, probability of meeting PoC decision criteria for different trial designs can be explored through modeling and simulation

# Target Response Profile

**RESPONSE**



**EXPOSURE (e.g. AUC,  $C_{max}$ ,  $C_{ss\ avg}$ )**

# PK and Exposure-Response M&S

## Opportunities in PoC

### - PK Modeling

- Understand PK in target population and possibly reduce inter-individual variability in exposure to increase signal/noise: dosing individualization
- Select PoC doses with minimal exposure overlap
- Explain unexpected outcomes (e.g. unknown phenotypic differences in PK)
- Adjust for formulation differences

### - E-R Modeling

- Assessment of E-R relationships for multiple endpoints (e.g. after dose-ranging based on efficacy endpoint)
- Basis for trial simulations: explore performance/options *in silico* before initiating clinical trial

# Impact of E-R Varies with PoC Trial Designs

## MTD-Type PoC Design

- Typically 1 active treatment dose vs. reference treatment
- Dose selected based on Phase I MTD
- Standard pair-wise statistical comparison

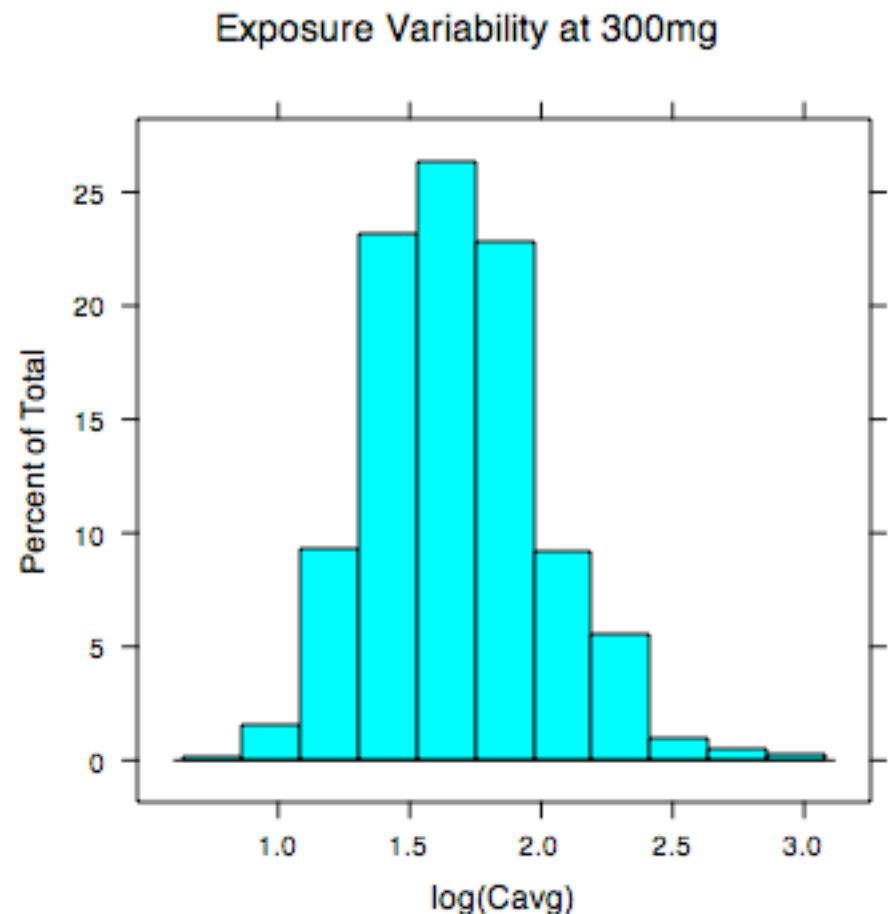
## Dose-Ranging PoC Design

- Multiple doses investigated
- Dose-range informed by preclinical data, Phase I, biomarker, competitor data
- Model-based data analysis
- Often multi-variable PoC assessment

# Exposure-Response in MTD-Type PoC:

## Proceed with Caution

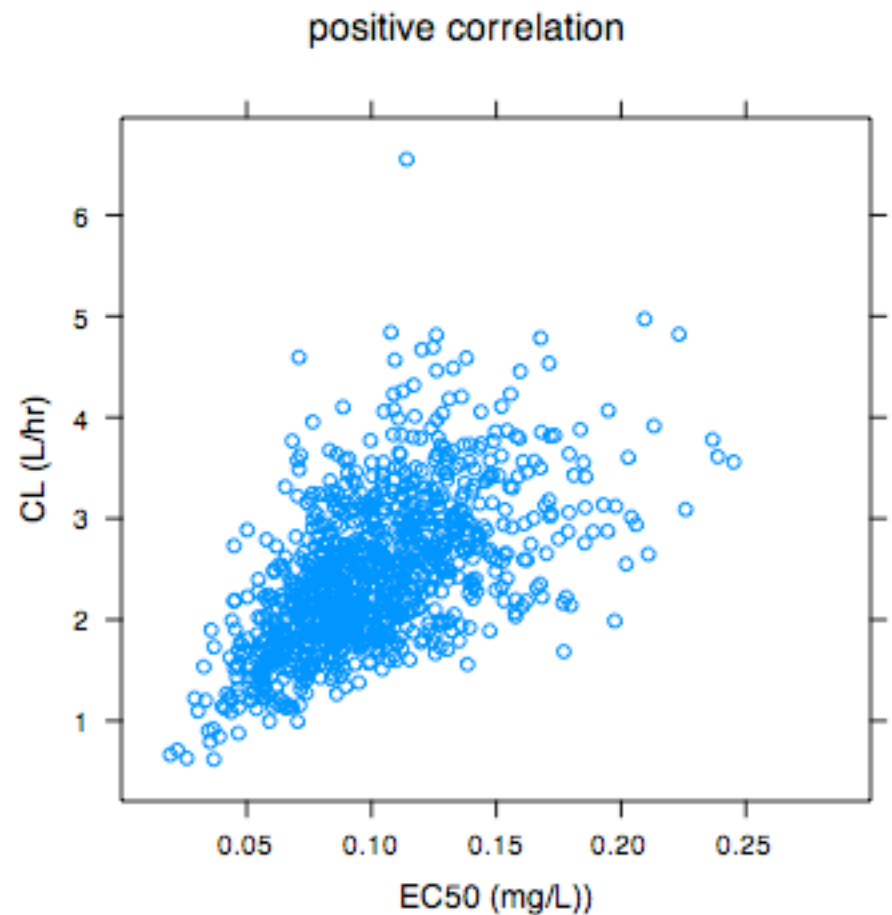
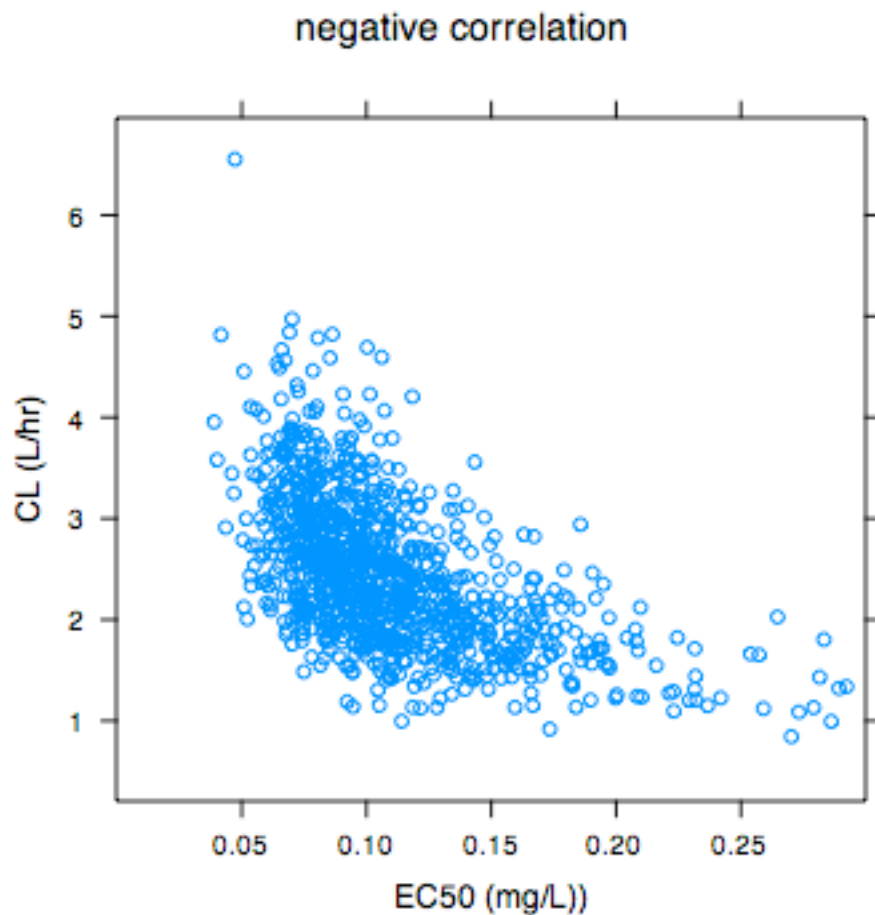
- Single active treatment arm at MTD (300 mg) vs. Placebo
- Obtain PK in all individuals
- Explore resulting relationship between exposure ( $C_{avg}$ ) and Response (1 observation per individual)
- Can we make an accurate assessment of the PK-PD relationship from this design?



Problem described in: Nedelman JR, Rubin DB, Sheiner LB. Diagnostics for confounding in PK/PD models for oxcarbazepine. Stat Med. 2007 Jan 30;26(2):290-308.

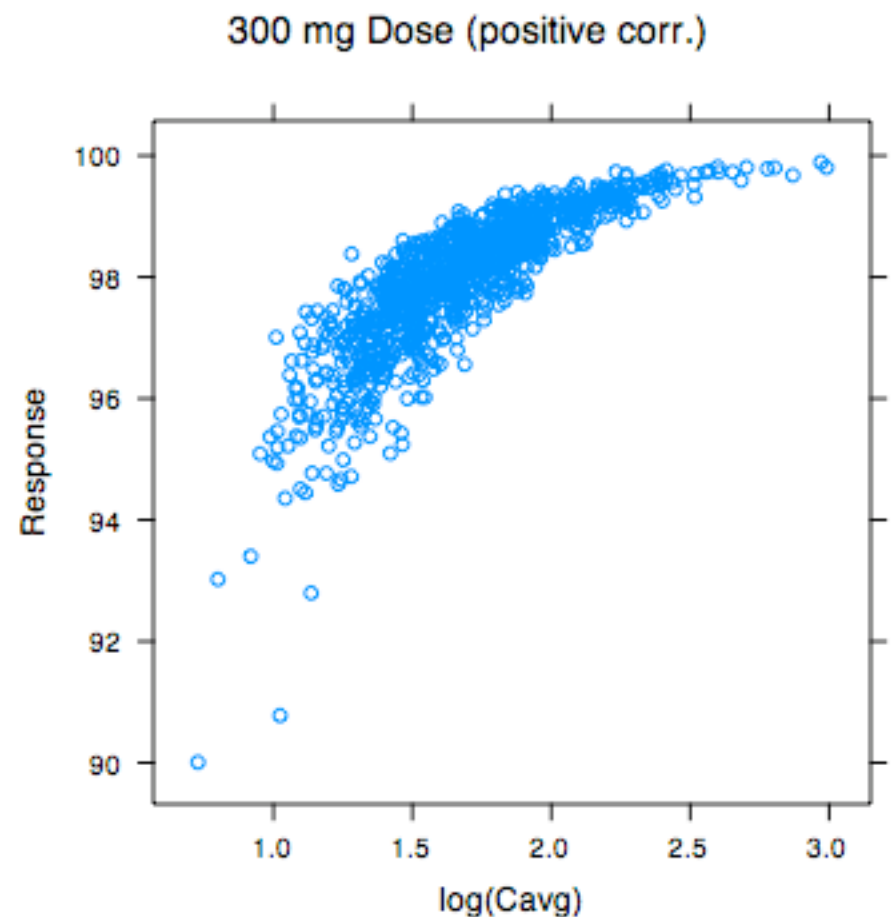
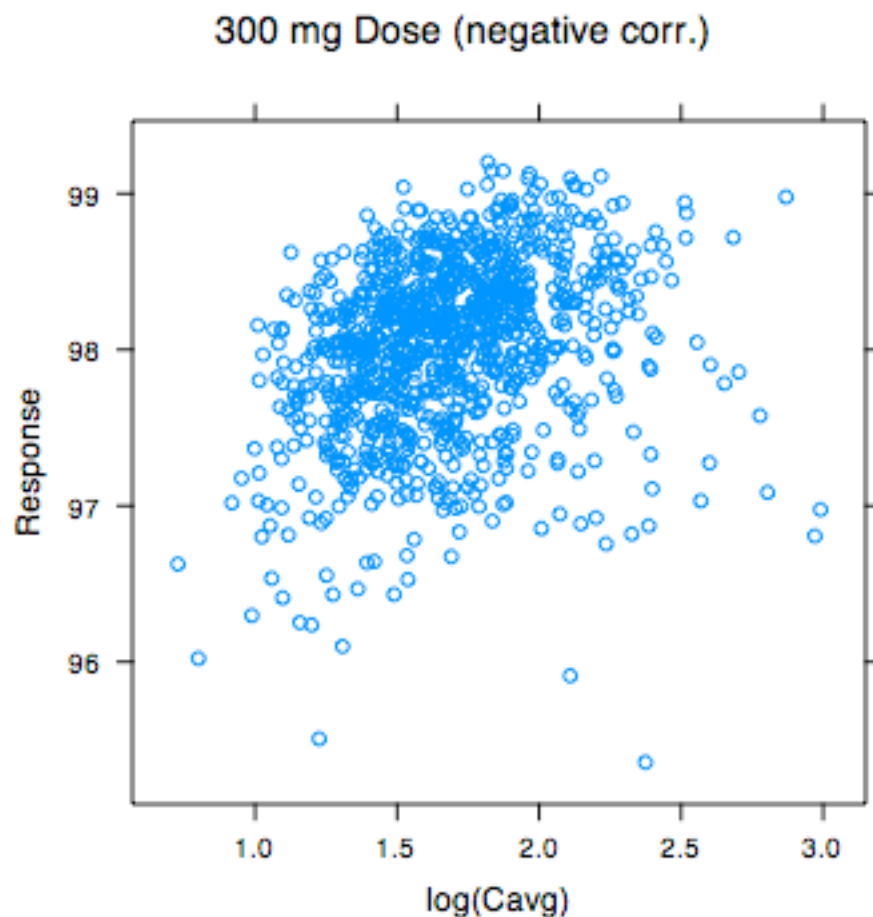
## Exposure-Response in MTD-Type PoC

- Consider possible inter-individual correlation between PK and PD



# Exposure-Response in MTD-Type PoC

- Resulting exposure-response relationships are misleading

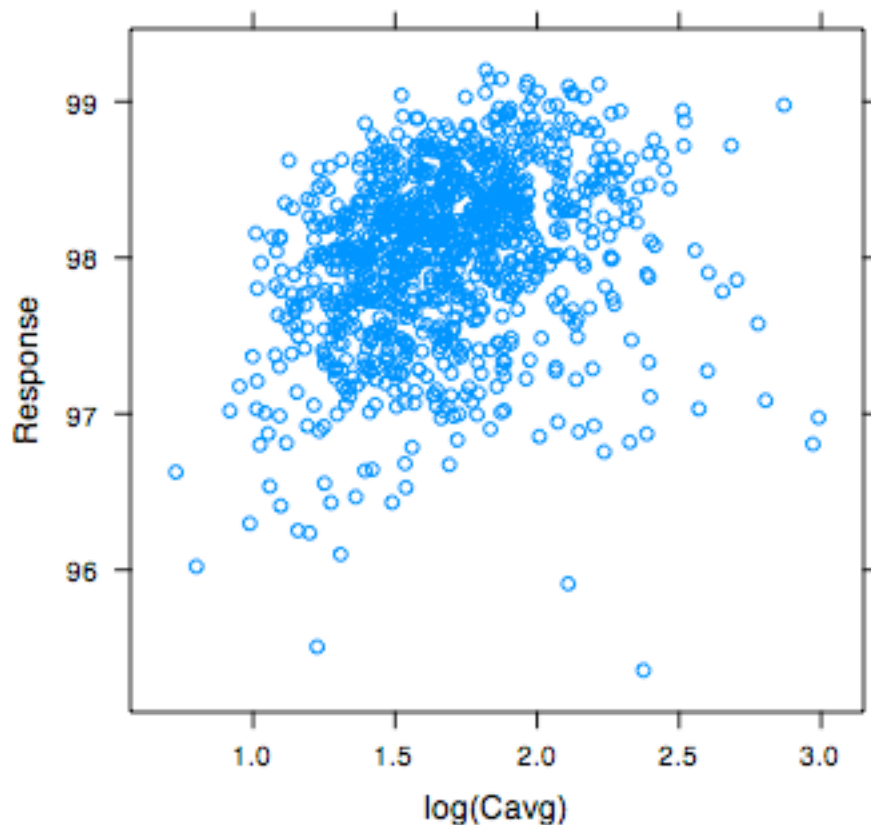




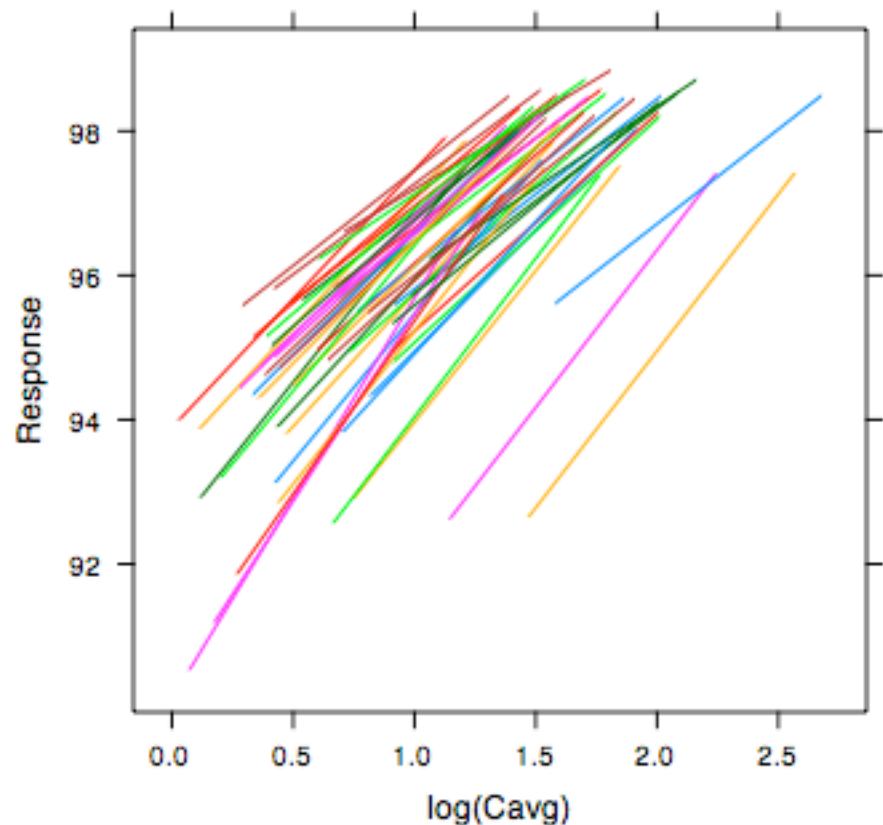
## Exposure-Response in MTD-Type PoC

- One solution: Obtain within-individual E-R (e.g. crossover) analyzed with mixed-effects modeling

300 mg Dose (negative corr.)



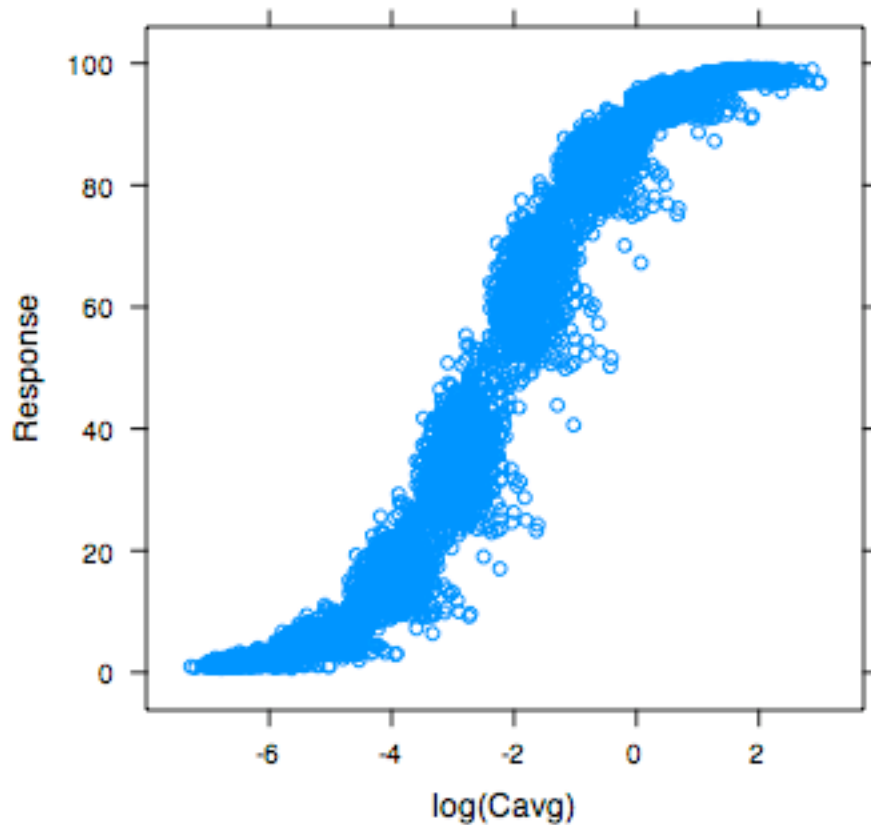
100 mg to 300 mg Dose Range (negative corr.)



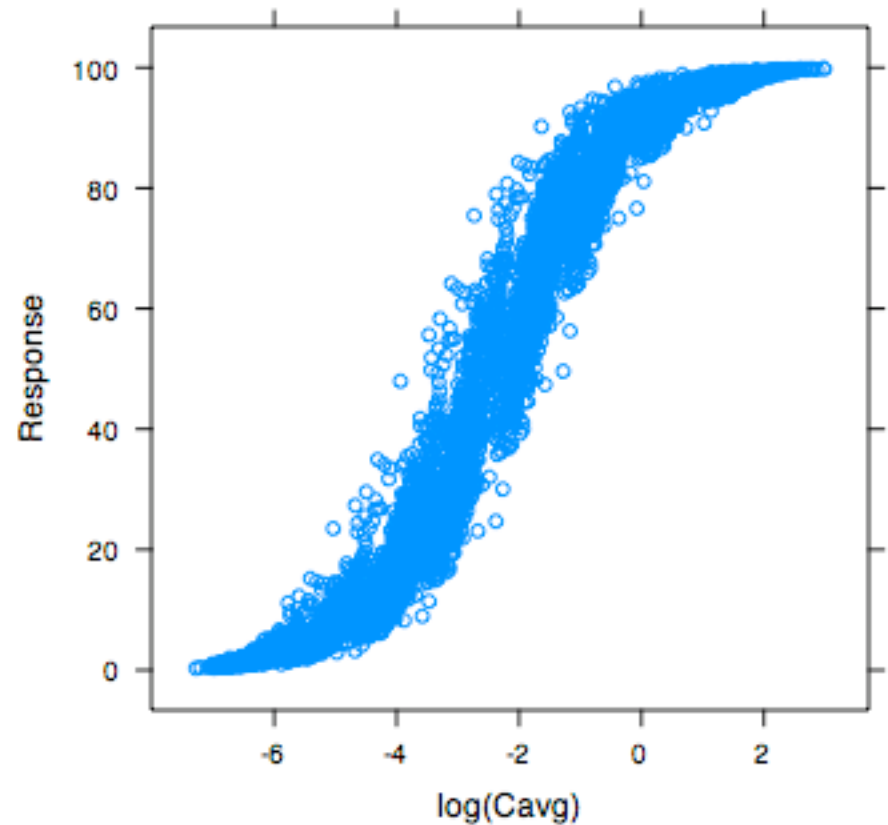
# Exposure-Response in MTD-Type PoC

- Another solution: Population E-R with broad dose-range

0.1 mg to 300 mg Dose Range (negative corr.)



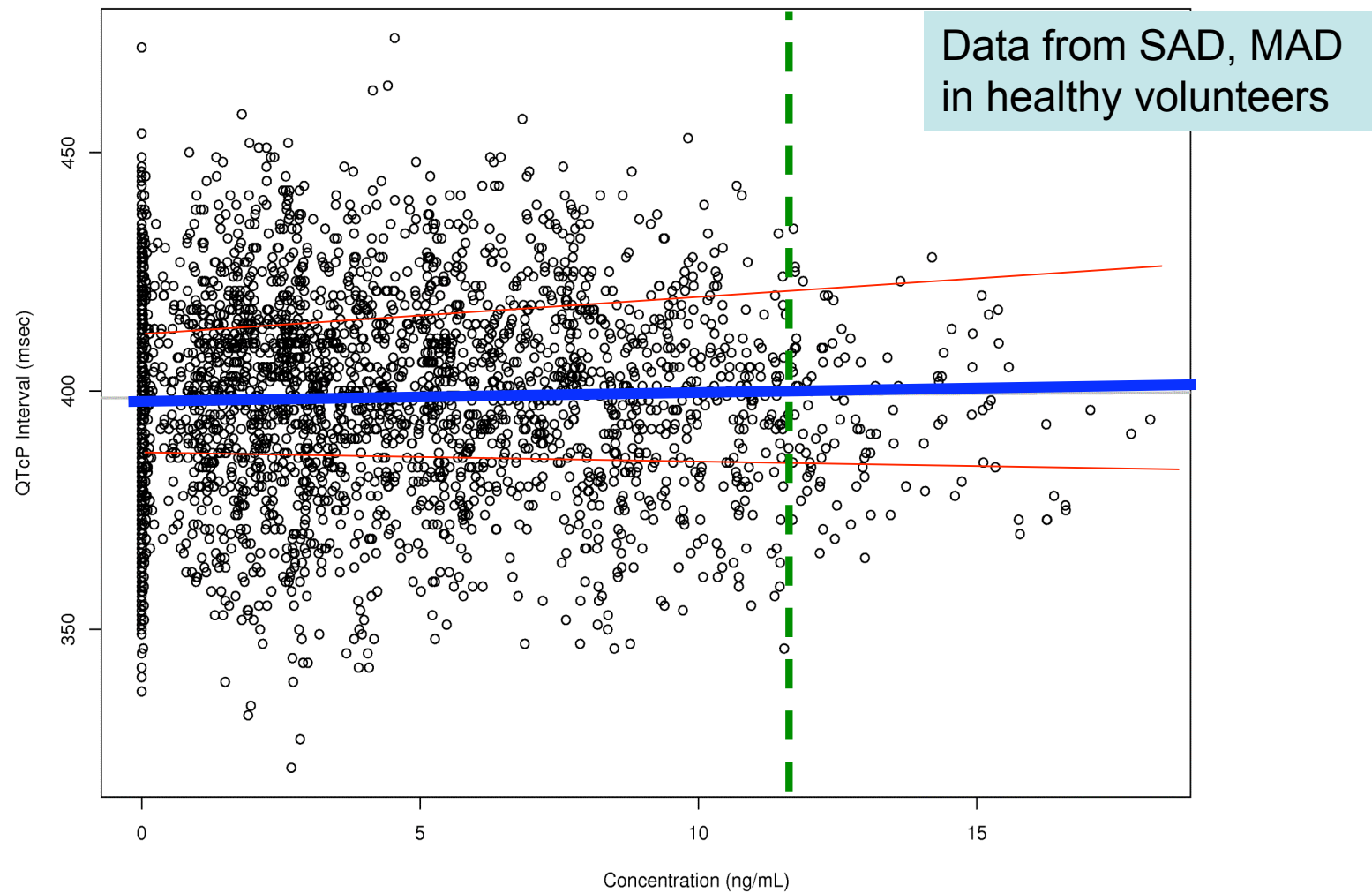
0.1 mg to 300 mg Dose Range (positive corr.)



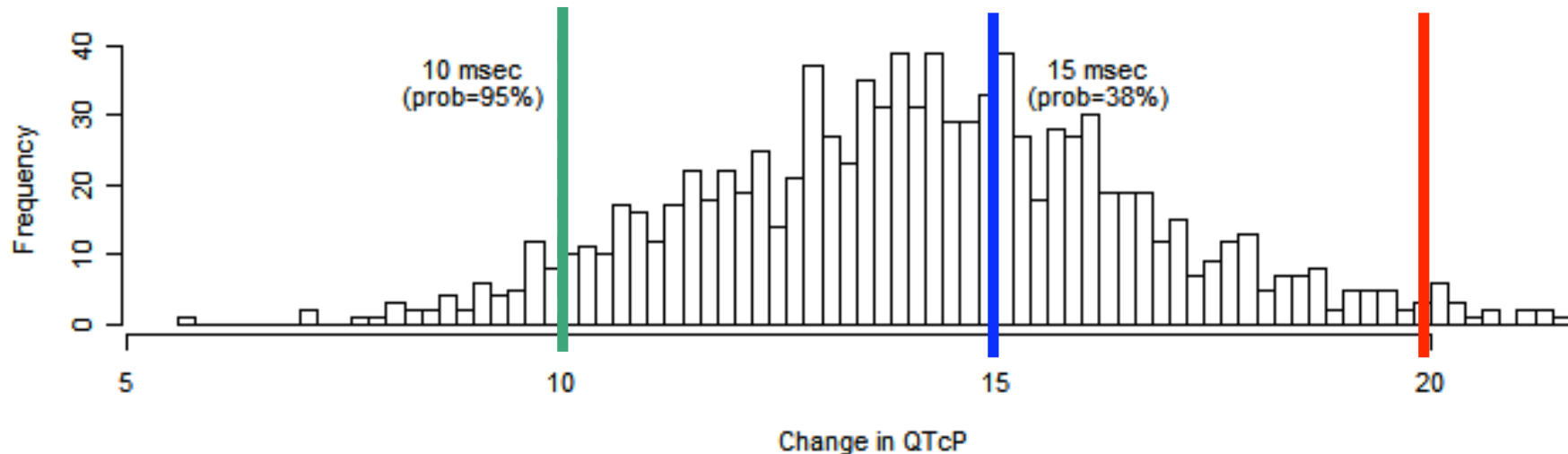
# PK-PD in Planning and Design of PoC Trials

- Use prior information, when available
  - Phase I PK, tolerability, biomarkers
  - Pre-clinical estimates of effective concentrations, relative potency
  - Competitor data
  - Therapeutic area knowledge

# Toxicity E-R to Inform PoC Dose Selection



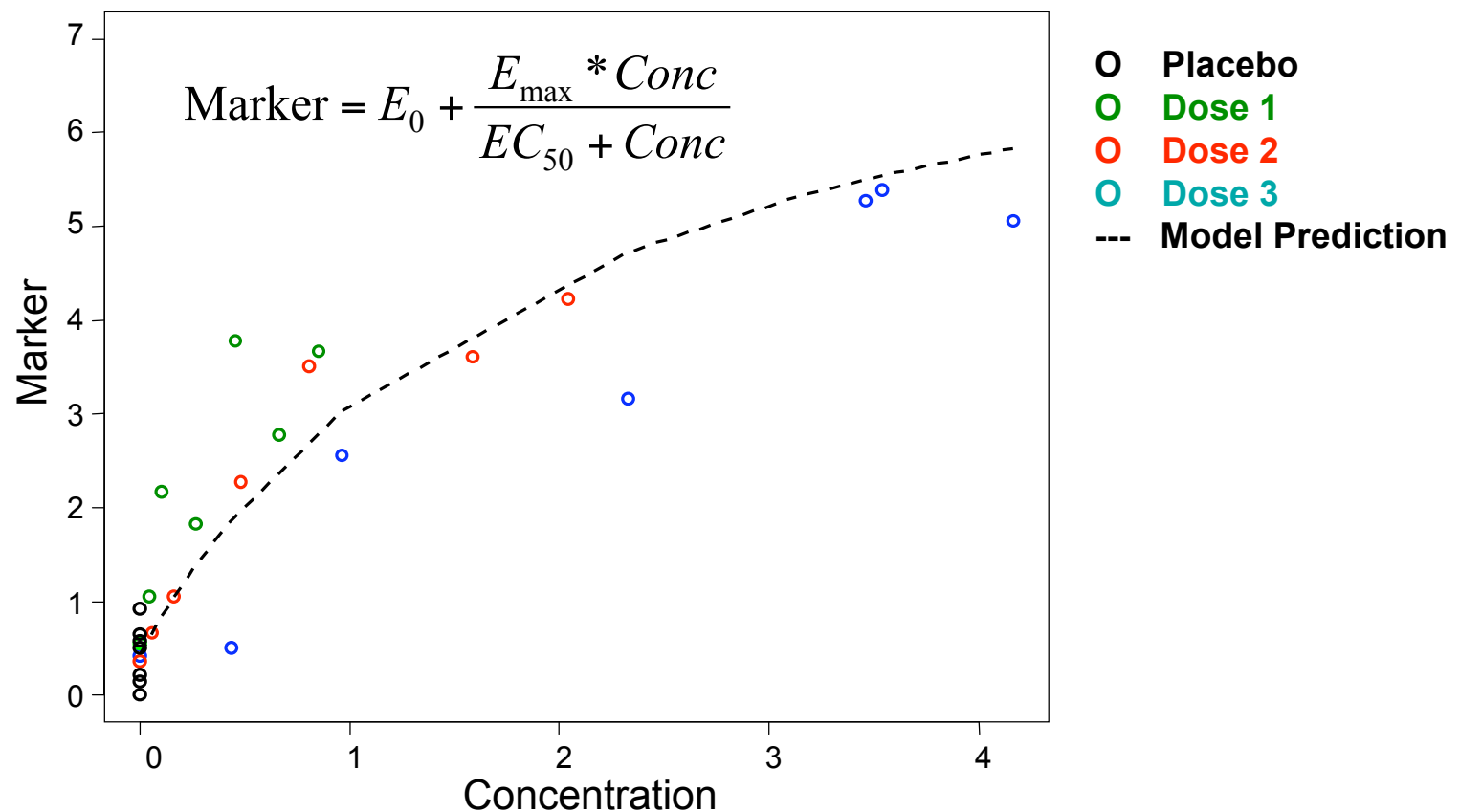
# Probability of QTc Prolongation



- Explore probability of QTc – related toxicity at various doses from Phase I data
- Project QTc prolongation at expected C<sub>max</sub>, given top dose and DDI
- Define dose-limit and early probability of compound viability

# Modeling Biomarker Data: Phase I MD Study

- PK-PD relationship evident & quantifiable ('Emax' model)
- Establish target PoM
- Set doses for investigation in PoC = concentrations within apparent efficacious range



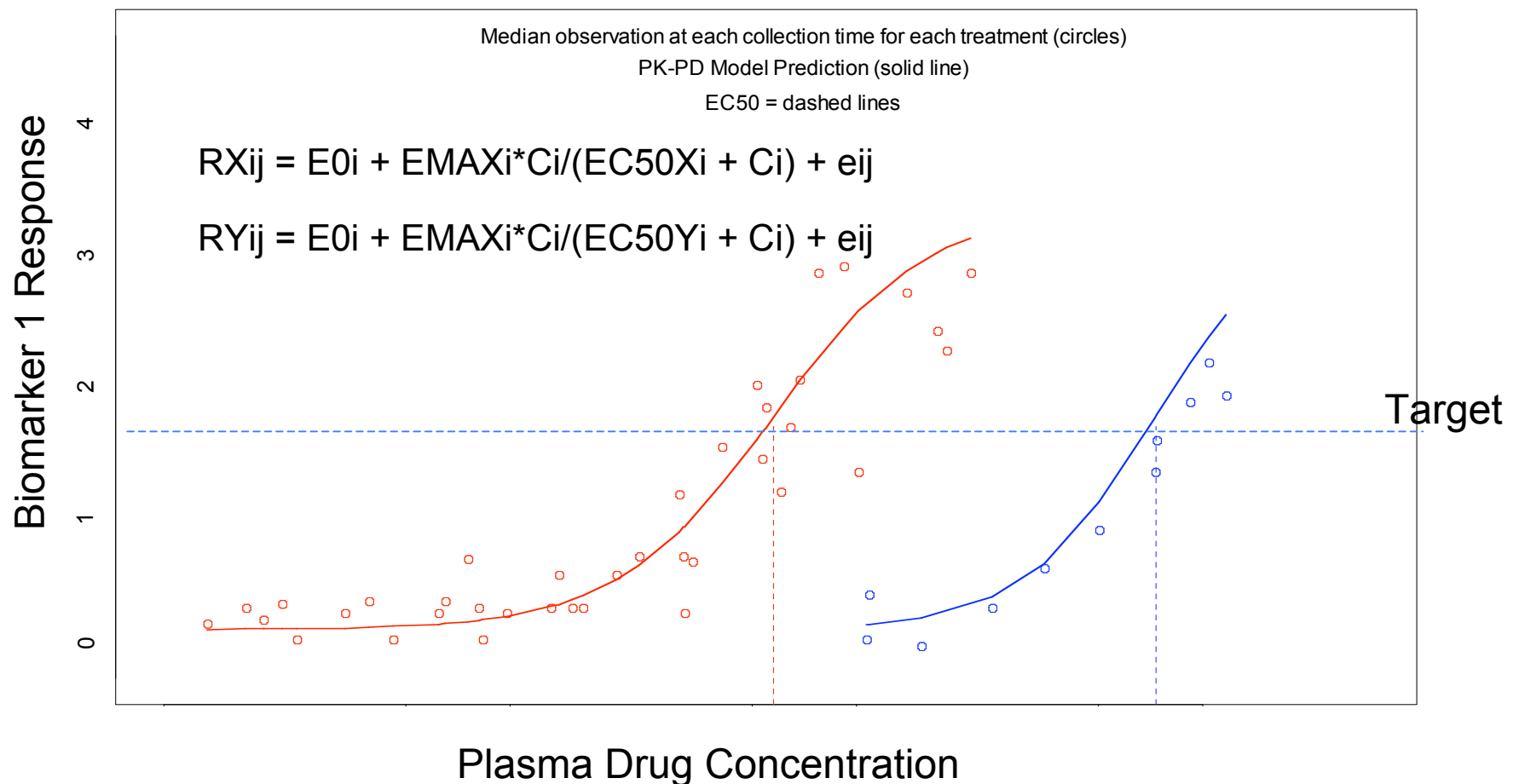
# E-R Analysis of PoC Trials

## - Example

- Parallel groups: 4 active doses + placebo + active control (competing therapy)
- Multiple Endpoints: biomarker 1 (efficacy), biomarker 2 (undesired), clinical outcome 1
- PoC determination based on model-based posterior probability of reaching target response profile

# E-R Based PoC: Test & Active Comparator Response: Biomarker 1 (efficacy)

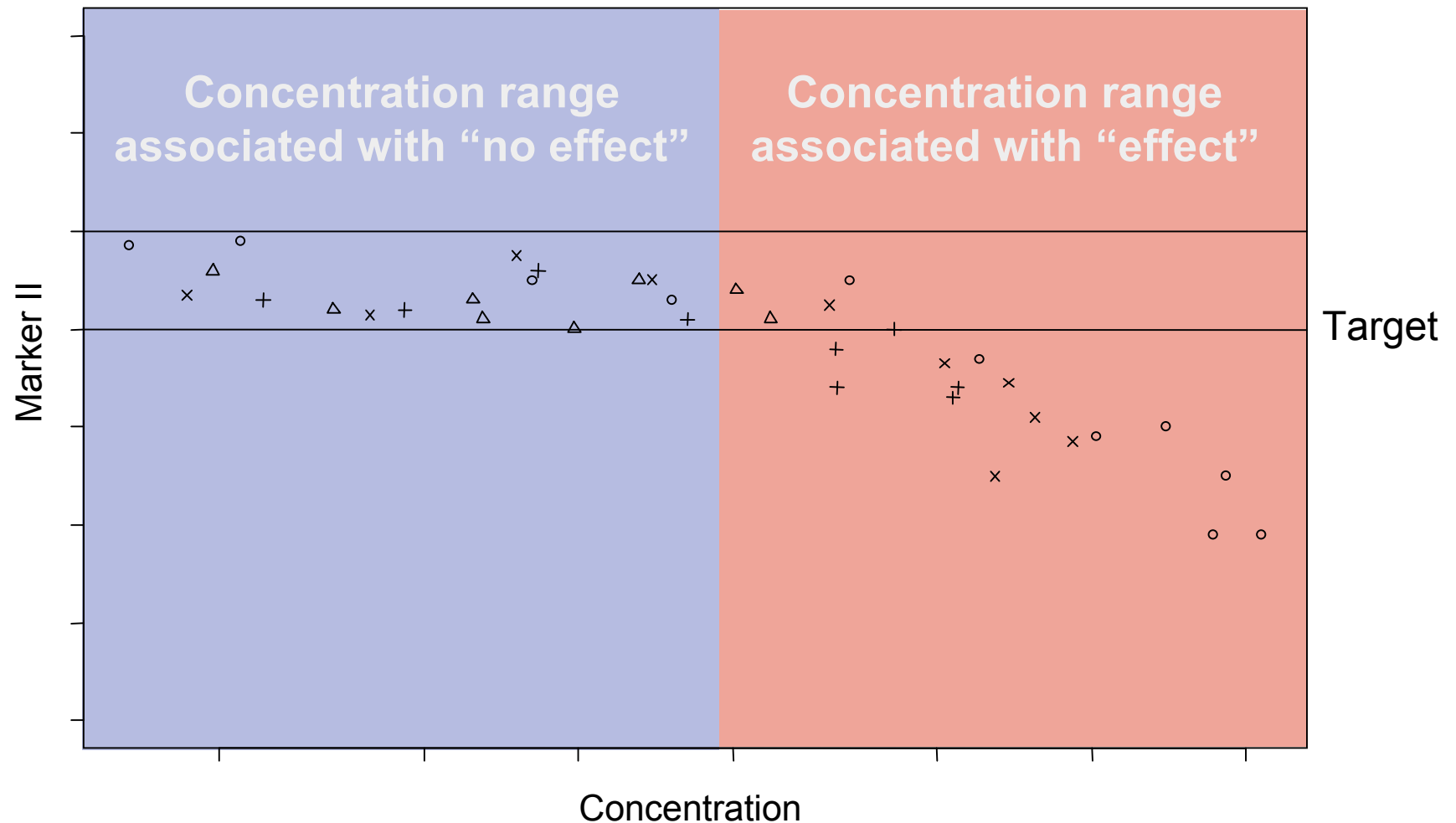
- **Drug X (red)** was more potent than **Comparator Y (blue)**
- Relative potencies ( $EC_{50}$  of **X** vs. **Y**) very consistent across multiple response variables



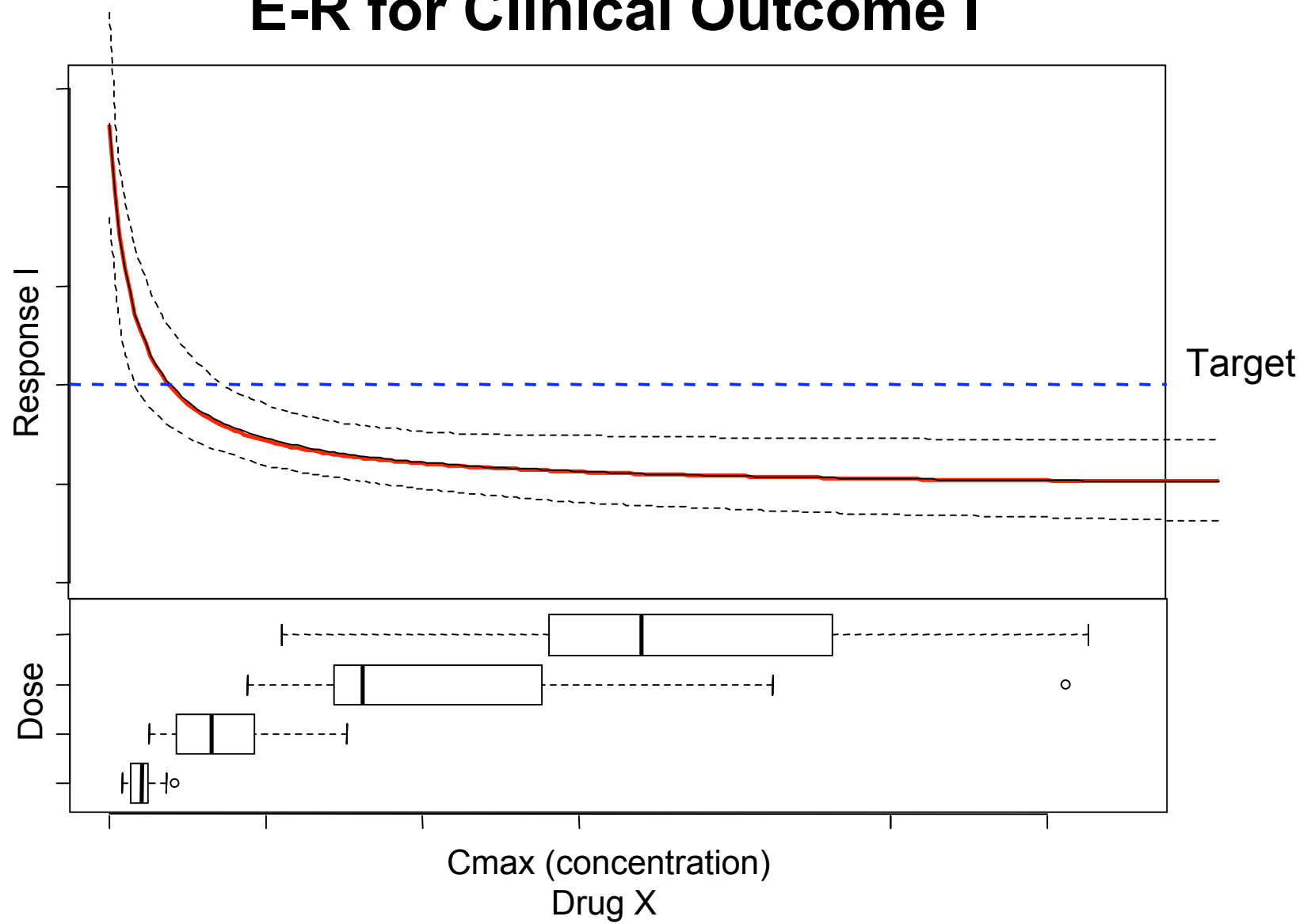


## PoC: E-R for Biomarker 2 (undesired)

- Identified *Drug X* concentrations associated with BM II effect
- Consider doses that provide for target concentrations



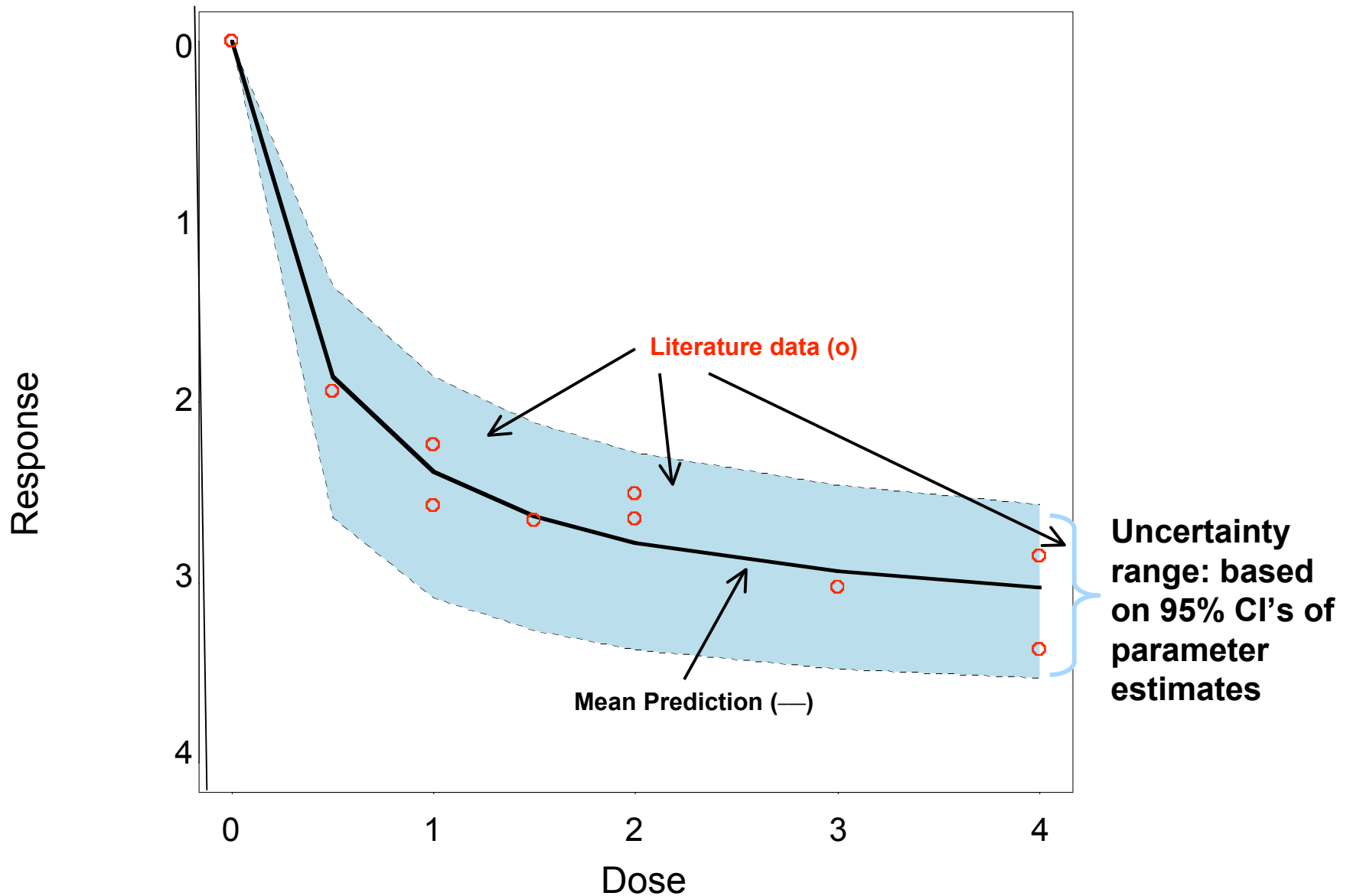
# E-R for Clinical Outcome I



## Building Knowledge for Phase 2b

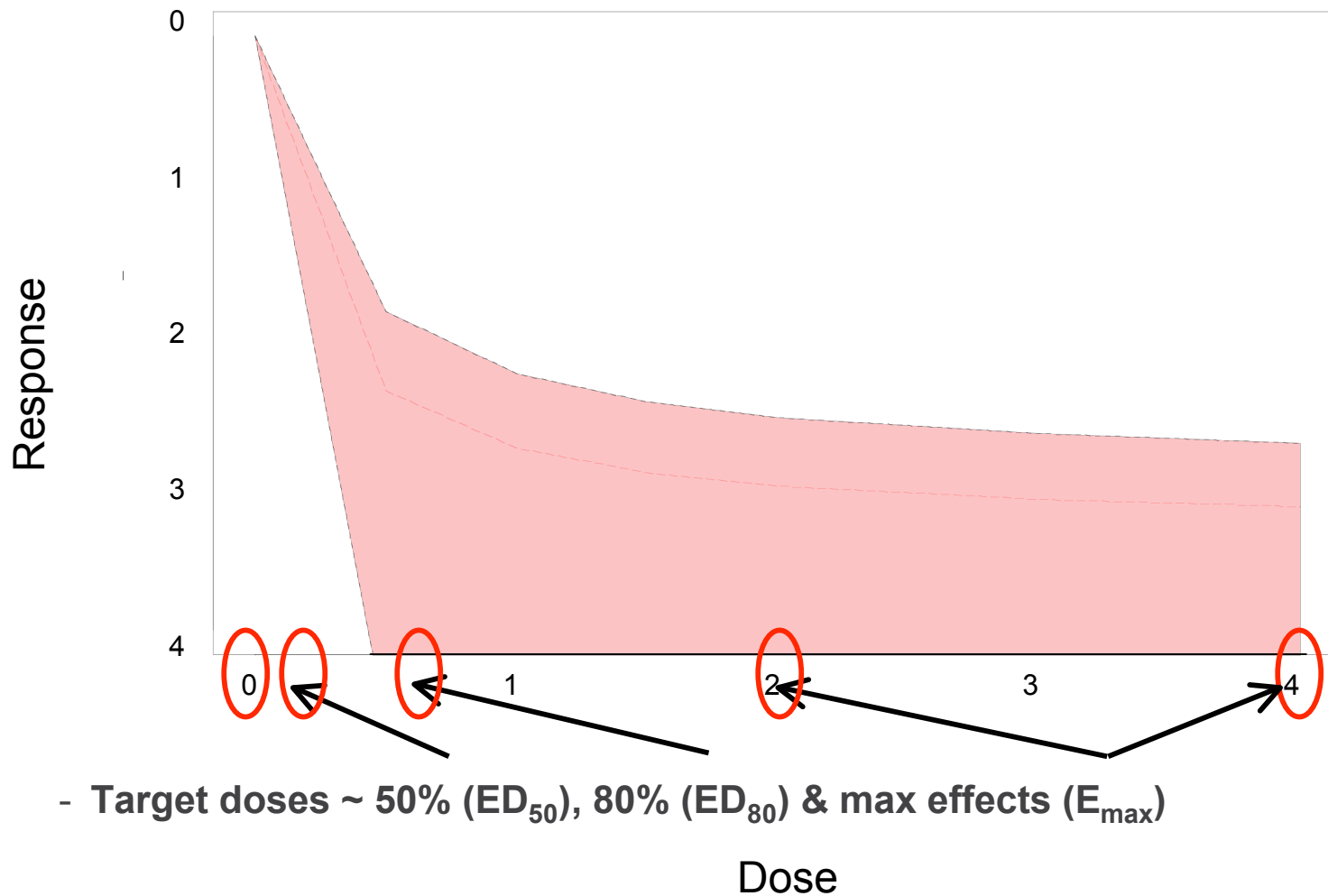
- Drug X posterior probability distribution for target response meets PoC criterion, but which doses should go into Phase 2b, where primary endpoint will be an approval outcome measure?
- *Comparator Y* Dose-Response
  - Literature data
  - Model = Nonlinear 'Emax' model for mean relationship
  - Uncertainty range: Based on standard errors of parameter estimates
- Scaled for Approximate Dose-Response of *Drug X*
  - Based on biomarker relative  $EC_{50}$  of *Drug X* vs. *Comparator Y*
  - Accounted for PK differences
  - Additional variability for uncertainty in scaling ratios

## Dose-Response Model for *Comparator Y*: 2b Response



# Scaled Dose-Response for *Drug X*: Predicted 2b Response

- Select doses to further characterize (reduce uncertainty in) response surface



- Target doses ~ 50% ( $ED_{50}$ ), 80% ( $ED_{80}$ ) & max effects ( $E_{max}$ )

## Other Examples of E-R in Analgesic PoC Trials

- Dissociation of rescue drug effects from test treatment
- Model-based inferences with dropout (missing data)

# Dissociating Treatment Effects from Rescue Dose Effects

- Chronic pain PoC design (PBO plus 4 dose levels)
- Acetaminophen rescue (500 mg) allowed as needed
- Reduction in pain intensity is primary endpoint
- Problem: How to interpret pain response in presence of rescue?
- Proposal: Analyze entire data set with model-based analysis using 2 simultaneous exposure-response relationships:
  - Study Drug E-R
  - Rescue E-R

# Consideration

- Potential delay between plasma exposure and exposure at site of action (e.g., CNS)
  - May be more pronounced with
    - ▶ with acute or 'prn' dosing
    - ▶ shorter  $t_{1/2}$  and/or rapid  $T_{max}$

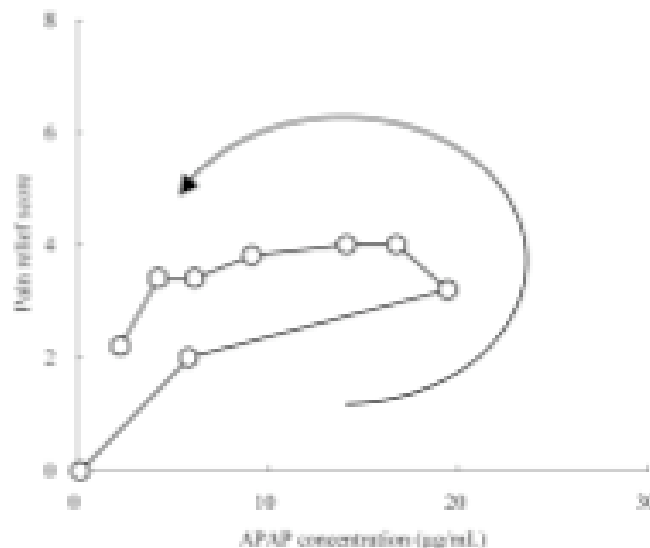


Fig. 4. Relationship between APAP Concentration and Pain Relief Score in Five Patients with Chronic Pain

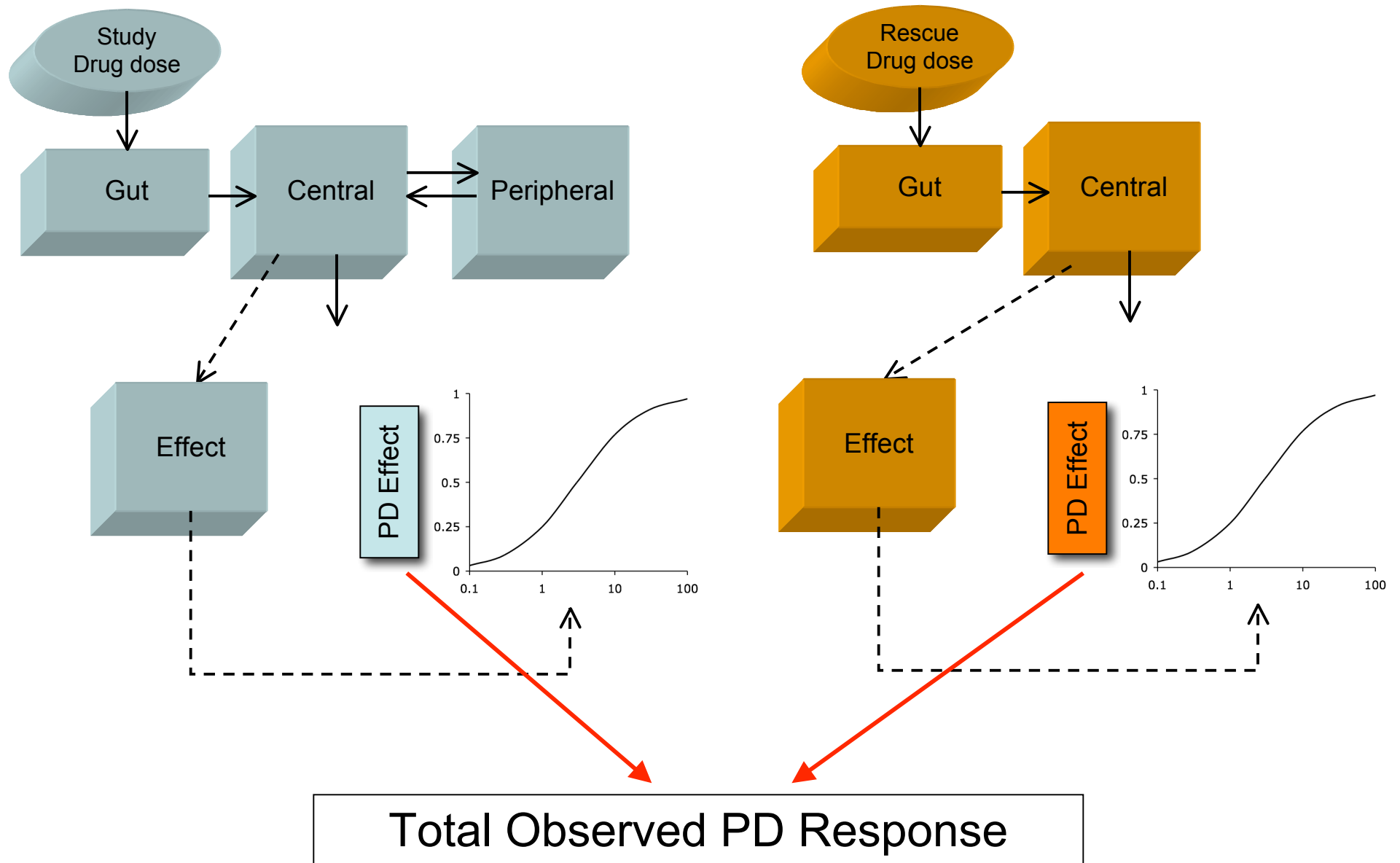
Plots represent mean values.

**Figure from:** Shinoda S, Aoyama T, Aoyama Y, Tomioka S, Matsumoto Y, Ohe Y 2007. Pharmacokinetics/pharmacodynamics of acetaminophen analgesia in Japanese patients with chronic pain. *Biol Pharm Bull* 30(1):157-161

**Also see:** Staahl C, Upton R, Foster DJ, Christrup LL, Kristensen K, Hansen SH, Arendt-Nielsen L, Drewes AM. Pharmacokinetic-pharmacodynamic modeling of morphine and oxycodone concentrations and analgesic effect in a multimodal experimental pain model. *J Clin Pharmacol*. 2008 May;48(5):619-31.

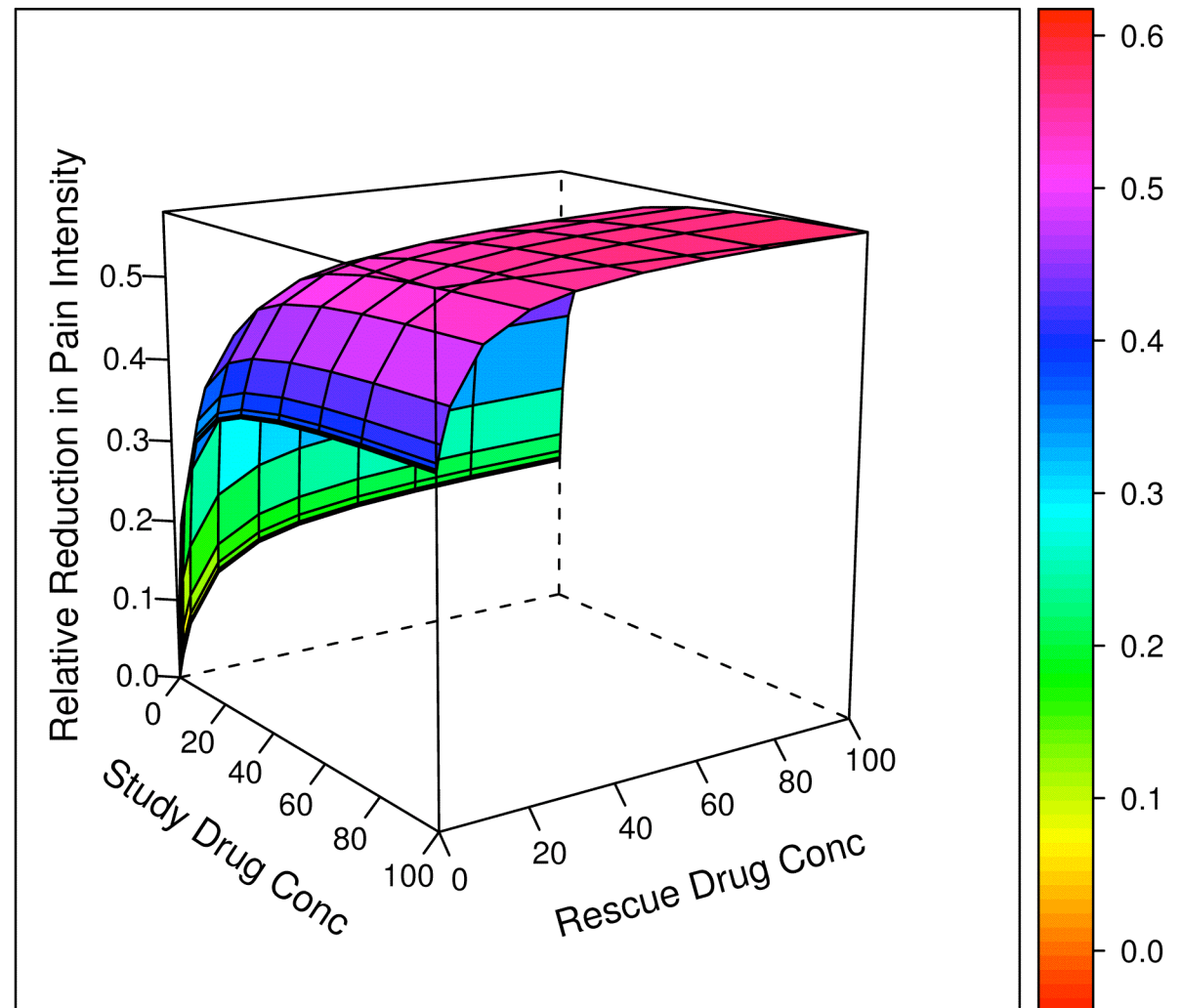


# Dual E-R Model Schematic



# Individual Contributions to Total Response

- Integrated model of Study Drug and Rescue E-R
- Allows interpretation of individual and joint effects
- Success of this approach highly dependent on adequate Dose-Ranging design
- Results preliminary: Evaluation of performance through simulation ongoing



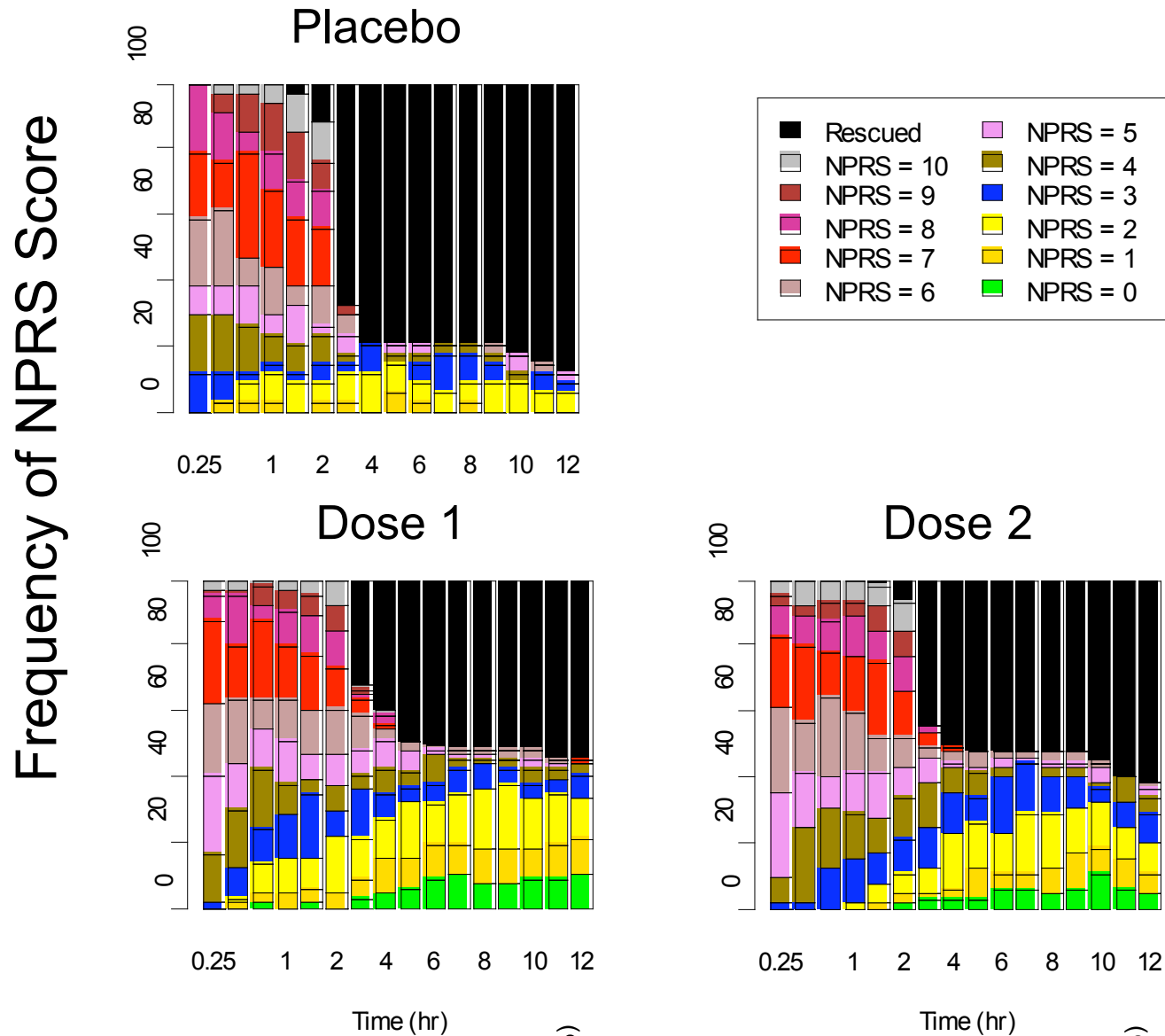
# Model-Based Inferences in the Presence of Dropout

- Acute pain PoC study
- Dropout after first rescue
- Population nonlinear-mixed effects exposure-response model developed from observed repeated-measures data (missing At random assumption)

Approach first described in:

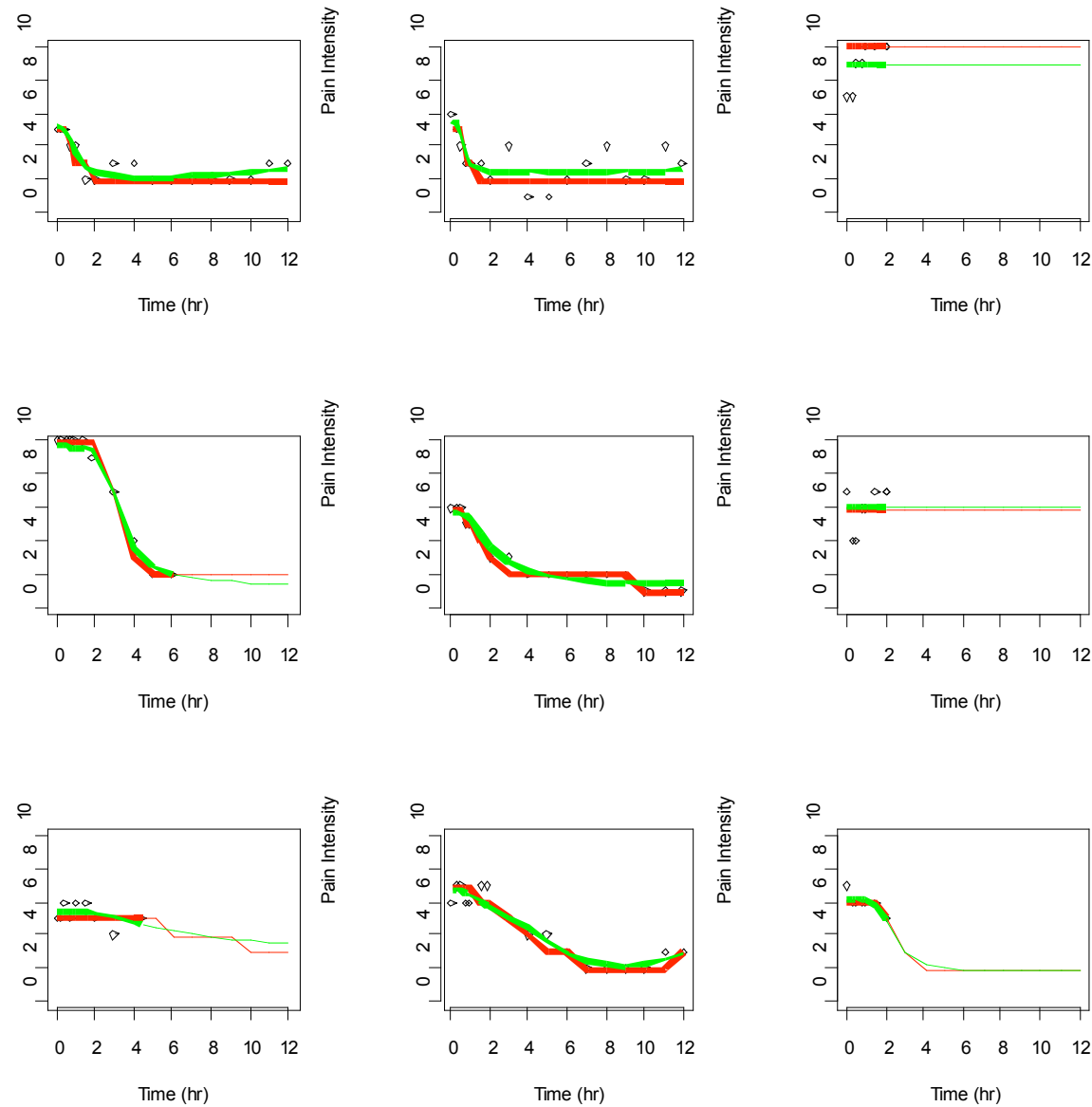
Sheiner LB. A new approach to the analysis of analgesic drug trials, illustrated with bromfenac data. Clin Pharmacol Ther. 1994 Sep;56(3):309-22.

# Observed Data



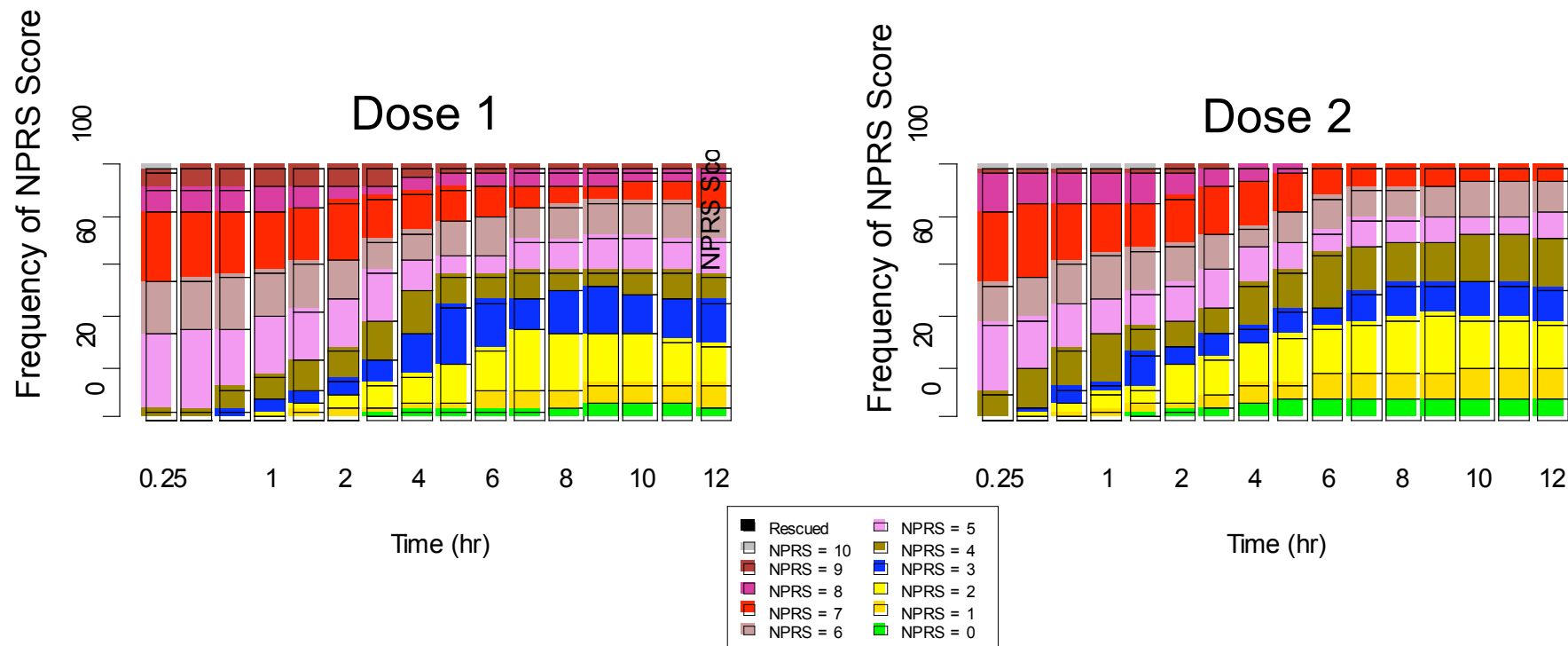
# PD Response Time-Course

Pain Intensity (NPRS)



# Model-Based Extrapolation

- Repeated-measures nonlinear mixed effects model used to extrapolate individual responses over time
- View simulated response time-course in the absence of dropout



## Summary (1)

The utility of exposure-response in PoC trials depends on study design and PoC goals.

### - MTD-Type PoC

- E-R modeling of PoC data has minimal value; may be misleading
- PK modeling still useful for understanding target population PK, reducing variability, or for explaining extreme outcomes

### - Dose-Ranging PoC

- E-R has high value for design, analysis and PoC determination
- Comparative E-R relationships across multiple endpoints/active controls provides insight into probability of achieving target product profile
- Advances knowledge building for future drug development phases
- Basis for trial simulations to explore future designs

## Summary (2)

### - PK and E-R modeling and simulation:

- are tools for knowledge-building and decision support in drug development
- provide basis for trial simulations to explore and optimize trial design performance
- are best supported by trial designs that explore individual E-R relationships
- of multiple endpoints allows quantitative assessment of drug's multivariate response profile, supporting dose-selection decisions
- may be useful in assessing test treatment response in presence of rescue dosing (preliminary)
- may be useful for making inferences in the presence of dropout (for non-regulatory purposes)



# Additional References

- Krall RL, KH Engleman, HC Ko, and CC Peck. "Clinical Trial Modeling and Simulation – Warner KE, Peck CC, Work in Progress." */Drug Info J/*, 32: 971-976, 1998.
- Peck CC. "Drug development: Improving the process." */Food and Drug Law J/*. 52 (2):163-167, 1997.
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- Klingenberg B. A Unified Framework for Proof of Concept and Dose Estimation with Categorical Responses. [www.williams.edu/~bklingen](http://www.williams.edu/~bklingen) .
- Lalonde RL, Kowalski KG, Hutmacher MM, Ewy W, Nichols DJ, Milligan PA, Corrigan BW, Lockwood PA, Marshall SA, Benincosa LJ, Tensfeldt TG, Parivar K, Amantea M, Glue P, Koide H, Miller R. Model-based drug development. *Clin Pharmacol Ther.* 2007 Jul;82(1):21-32.
- Atkinson AJ Jr, Lalonde RL. Introduction of quantitative methods in pharmacology and clinical pharmacology: a historical overview. *Clin Pharmacol Ther.* 2007 Jul;82(1):3-6.

## FDA Presentations on Model-Based Drug Development

- <http://www.fda.gov/oc/initiatives/criticalpath/presentations.html>
- <http://www.fda.gov/ohrms/dockets/ac/03/slides/3998s1.htm>
- <http://www.aapspharmaceutica.com/meetings/files/38/Booth.ppt>

# Acknowledgements

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